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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

ISM/005

Total Pages

102

First Named Inventor or Application Identifier

ADNAN SHENNIB

Express Mail Label No.

EE 805 094 797 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 202311. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)2. ☒ Specification [Total Pages (preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Sheets

4. Oath or Declaration [Total Pages

a. ☒ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below)

i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☒ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. ☐ Computer Readable Copy

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c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))

9. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. ☒ Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired

15. ☐ Certified Copy of Priority Document(s)
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(Insert Customer No. or Attach bar code label here)

NAME

DONALD R. GREENE

ADDRESS

P.O. Box 12995

CITY

SCOTTSDALE

STATE

AZ

ZIP CODE

85267-2995

COUNTRY

U.S.A.

TELEPHONE

(602) 488-9895

FAX

(602) 488-5654

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ADNAN SHENNIB, ET AL.

Group Art Unit _____

Serial No. _____

Examiner: _____

Filed: November 24, 1998 (herewith) :

Title: SEMI-PERMANENT CANAL HEARING DEVICE

NEW APPLICATION TRANSMITTAL

1. This new application is for an original utility patent, consisting of 67 pages of specification, including 28 pages of claims (140 claims) and a 1 page abstract, plus a cover sheet and 13 sheets (17 Figures, some of which have multiple parts) of informal drawings. Also enclosed is the PTO Application Transmittal Form (PTO/SB/05).

2. The application also includes a Combined Declaration and Power of Attorney, executed by the inventors, and Assignments (3) executed by the inventors to InSonus Medical, Inc. (assignee) together with executed recordation forms for each Assignment for recording in the PTO.

3. Also enclosed herewith are Verified Statements Claiming Small Entity Status (2) executed by the assignee and by an inventor. The filing fee has been calculated on a small entity basis:

CLAIMS AS FILED				CALCULATION	
				(Small Entity)	
	Number Filed	Number Extra	Rate	Basic Fee	
				\$380.00	
Total Claims	140 - 20 =	120	x \$ 9.00	=	1,080.00
Independent Claims	5 - 3 =	2	x \$39.00	=	<u>78.00</u>
Filing Fee, Total				\$1,538.00	

4. Enclosed herewith is a check to the Commissioner in the amount of \$1,658.00 to cover both the above filing fee and the \$120.00 fee for recordation of the enclosed three Assignments.


Donald R. Greene

Post Office Box 12995

Scottsdale, AZ 85267-2995

Dated: 11/24/98

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
APPLICATION FOR UNITED STATES PATENT

Title: **SEMI-PERMANENT CANAL HEARING DEVICE**

Inventors: **ADNAN SHENNIB**
Fremont, California

RICHARD C. URSO
Redwood City, California

DIEP H. NGO
San Jose, California

Assignee: **INSONUS MEDICAL, INC.**
Hayward, California

SEMI-PERMANENT CANAL HEARING DEVICE

Cross-Reference to Related Applications

This application is related to co-pending patent applications Serial No. 09/181,533, filed October 28, 1998, titled "Remote Magnetic Activation of Hearing Devices" (referred to herein as the "533 application"), and Serial No. 09/190,764, filed November 12, 1998, titled "Battery Enclosure for Canal Hearing Devices" (referred to herein as the "764 application").

Background of the Invention

a. Technical Field

The present invention relates to hearing devices, and, more particularly, to hearing devices that are semi-permanently positioned in the ear canal for improved energy efficiency, sound fidelity, and inconspicuous wear.

b. Description of the Prior Art

(1) Brief Description of Ear Canal Anatomy and Physiology

The external acoustic meatus (ear canal) is generally narrow and tortuous as shown in the coronal view in **FIG. 1**. The ear canal **10** is approximately 23-29 millimeters (mm) long from the canal aperture **17** to the tympanic membrane **18** (eardrum). The lateral part, a cartilaginous region **11**, is relatively soft due to the underlying cartilaginous tissue. The cartilaginous region **11** of the ear canal **10** deforms and moves in response to the mandibular (jaw) motions, which occur during talking, yawning, eating, etc. Hair **12** is primarily present

in the cartilaginous region. The medial part, a bony region **13** proximal to the tympanic membrane, is rigid due to the underlying bony tissue. The skin **14** in the bony region **13** is thin (relative to the skin **16** in the cartilaginous region) and is sensitive to touch or pressure. A characteristic bend **15** roughly occurring at the bony-cartilaginous junction **19** separates the cartilaginous and bony regions **11** and **13**, respectively. The magnitude of this bend varies significantly among individuals.

A cross-sectional view of the typical ear canal **10** (**FIG. 2**) reveals generally an oval shape with a long diameter D_L in the vertical axis and a short diameter D_S in the horizontal axis. Canal dimensions vary significantly among individuals as shown below in the section titled Experiment-A. The long/short ratio (D_L/D_S) ranges from 1:1 to 2:1. The diameter ranges from as little as 4 mm (D_S in the bony region **13** in small canals) to as much as 12 mm (D_L in the cartilaginous region **11** in large canals).

Physiological debris **4** in the ear canal is primarily produced in the cartilaginous region **11**, and includes cerumen (earwax), sweat, and oils produced by the various glands underneath the skin in the lateral portion of the cartilaginous region. Cerumen is naturally extruded from the ear canal by the process of lateral epithelial cell migration (see, e.g., Ballachanda, *The Human Ear Canal*, Singular Publishing, 1950, pp. 195). There is no cerumen production or hair **12** in the bony part of the ear canal. The ear canal **10** terminates medially with the tympanic membrane **18**. Externally and lateral to the ear canal are the concha cavity **2** and the auricle **3**.

Several types of hearing losses affect millions of individuals. Hearing loss

naturally occurs beginning at higher frequencies (4000 Hz and above) and increasingly spreads to lower frequencies with age.

(2) The Limitations of Conventional Canal Hearing Devices.

Conventional hearing devices that fit in the ear of individuals generally fall into one of 4 categories as classified by the hearing aid industry: (1) the Behind-The-Ear (BTE) type which, as the designation indicates, is worn behind the ear and is attached to an ear mold which fit mostly in the concha; (2) the In-The-Ear (ITE) type which fits largely in the auricle and concha areas, extending minimally into the ear canal; (3) the In-The-canal (ITC) type which fits largely in the concha area and extends into the ear canal (see, e.g., Valente M., *Strategies for Selecting and Verifying Hearing Aid Fittings*, Thieme Medical Publishing. pp. 255-256, 1994), and (4) the Completely-In-the-Canal (CIC) type which fits completely within the ear canal past the aperture (see, e.g., Chasin, M. *CIC Handbook*, Singular Publishing, 1997 (referred to hereinafter as “*Chasin*”), p. 5).

The continuous trend for the miniaturization of hearing aids is fueled by the demand for *invisible* hearing products in order to alleviate the social stigma associating hearing loss with aging and disability. In addition to the cosmetic advantage of a CIC device **20 (FIG. 3)**, there are actual acoustic benefits resulting from the deep placement of the device within the ear canal. These benefits include improved high frequency response, less distortion, reduction of feedback and improved telephone use (e.g., *Chasin*, pp. 10-11).

However, even with these significant advances leading to the advent of CIC technology, there remain a number of fundamental limitations associated with the underlying

design and configurations of conventional CIC technology. They include: (a) frequent device handling, (b) acoustic feedback, (c) custom manufacturing & impression taking, (d) limited energy efficiency, (e) size limitation due to space inefficiency of enclosure, and (f) occlusion related problems. These limitations are discussed in more detail below.

5 (a) Frequent device handling:

Conventional CIC devices require frequent insertion and removal from the ear canal. Manufacturers often recommend daily removal for cleaning and maintenance of the CIC device (see, e.g., *Users's Instructions, SENSO CIC and Mini Canal*, Widex Hearing Aid Co. Feb. 97, pp. 11, 16; and *General Information for Hearing aid Users*, Siemens Hearing Instruments, Inc. Mar. 98, p. 8). Frequent removal of conventional CICs is also required for relieving the ear from the pressures of the device occluding the cartilaginous region. Furthermore, CIC hearing aid removal is also required in order to replace its battery, typically lasting from 1 to 2 weeks. The manual dexterity required to handle a CIC hearing device frequently poses a serious challenge to the many hearing impaired persons represented by the elderly. These individuals typically suffer from arthritis, tremors, or other neurologic problems that limit their ability to handle a miniature hearing aid.

10 (b) Acoustic feedback:

Acoustic feedback occurs when a portion of the sound output, typically from a receiver (speaker), leaks to the input of a sound system such as a microphone of a hearing aid. This leakage often causes a sustained oscillation, which is manifested by “whistling” or “squealing”. Feedback is not only annoying to hearing aid users but also

interferes with their communication. Feedback is typically alleviated by occluding (sealing) the ear canal tightly, particularly at the cartilaginous region **11**, as illustrated with the CIC hearing device in **FIG. 3**.

(c) Custom manufacturing & impression taking:

Conventional CIC devices are custom made according to an impression taken from the ear of the individual. The device housing **22** (**FIG. 3**), known as a *shell*, is custom fabricated according to the impression, to accurately assume the shape of the individual ear canal. Customizing a conventional CIC is required in order to minimize feedback and to improve comfort of wear. But custom manufacturing is time consuming and results in considerable cost overhead for the manufacturer, ultimately reflected in the price of the CIC device to the consumer (user). Furthermore, impression taking is often uncomfortable for the user.

(d) Limited energy efficiency:

The efficiency of a hearing device is generally inversely proportional to the distance or residual volume **25** (**FIG. 3**) between the receiver (speaker) end **23** and the tympanic membrane **18**; the closer the receiver is to the tympanic membrane, the less air mass there is to vibrate, and thus, less energy is required. However, due to concerns related to discomfort and difficulty of insertion, CIC products are typically tapered at their medial end **23** (e.g., *Chasin*, pp. 9-10) and relatively shallow in their placement in order to avoid substantial contact with the bony portion of the ear canal as shown in **FIG. 3**.

(e) Size limitation due to space inefficiency of enclosure:

Since a conventional CIC is frequently handled by a wearer, the enclosure **22 (FIG. 3)** must be made durably thick in order to protect the components contained within (battery **26**, microphone **27**, amplifier **28** and receiver **29**). Therefore, a shell, or main housing, is typically made of rigid material such as plastic (e.g. acrylic). Typical thickness for this housing or enclosure of CIC devices is 0.5 to 0.7 mm, which adds considerable dimensions to the conventional CIC. Furthermore, conventional shells enclose the battery along with other components, which makes the overall housing large. This space inefficiency renders the device unsuitable for many individuals with small or highly contoured ear canals who would not be able to comfortably tolerate insertion and wear of a CIC device deep in the ear canal.

(f) Occlusion related problems:

(i) Discomfort, irritation and even pain may occur due to canal abrasion caused by frequent insertion and removal of a CIC hearing aid. A removal strand **24 (FIG. 3)** is generally provided with CIC devices to assist the wearer in the daily removal process. Due to the resultant discomfort and abrasion, hearing devices are frequently returned to the manufacture for improvement of the custom fit and comfort (e.g., *Chasin*, p. 44). “The long term effects of the hearing aid are generally known, and consist of atrophy of the skin and a gradual remodeling of the bony canal. Chronic pressure on the skin lining the ear canal causes a thinning of this layer, possibly with some loss of skin appendages” (*Chasin*, p. 58).

(ii) Moisture produced in the cartilaginous ear canal causes

damage to the ear canal and the hearing device therein. "The humidity in the occluded portion of the canal increases rapidly. This is worse during hot and humid weather, following exercise" (*Chasin*, pp. 57-58). It is often recommended that the CIC device should be removed from the ear canal daily to reduce the damaging effects of moisture in the canal.

5 (iii) Cerumen *impaction* (blockage of the ear canal by earwax) may occur when cerumen, produced in the cartilaginous region, is pushed and accumulated deeper in the bony region of ear canal by the frequent insertion of a CIC hearing device (e.g., *Chasin*, p. 27, pp. 56-57). Cerumen can also build up on the receiver of the hearing device causing frequent malfunction. Cerumen contamination due to frequent insertion is probably the most common factor leading to hearing aid damage and repair (see, e.g., Oliveira, et al, *The Wax Problem: Two New Approaches*, The Hearing Journal, Vol. 46, No. 8).

10 (iv) The *occlusion effect*, a common acoustic problem attributable to occlusion of the ear canal by the hearing device, is manifested by the perception of the user's (wearer's) own voice ("self-voice") being loud and unnatural compared to that with an open (unoccluded) ear canal. This phenomenon is sometimes referred to as the "barrel effect", since it resembles the experience of talking into a barrel. The *occlusion effect*, which may be experienced by plugging the ears with fingers while talking, is generally related to self-voice resonating within the ear canal. For hearing aid users, the *occlusion effect* is inversely proportional to the residual volume 25 (FIG. 3) of air between the occluding hearing device and the tympanic membrane. Therefore, the *occlusion effect* is considerably alleviated by 20 deeper placement of the device in the ear canal. Incorporating a vent 21 across the CIC

hearing device 20 can also alleviate this effect.

The above limitations in conventional CIC devices are highly interrelated. For example, when a CIC is worn in the ear canal, movements in the cartilaginous region “can lead to slit leaks that lead to feedback, discomfort, the occlusion effect, and ‘pushing’ of the aid from the ear” (*Chasin*, pp. 12-14). The relationship between the limitations is often adverse. For example, occluding the ear canal tightly is desired on one hand to prevent feedback. On the other hand, however, tight occlusion leads to various adverse side effects as mentioned above. Attempts to alleviate the occlusion effect by a vent 21 provide an opportunistic pathway for leakage and feedback. For this reason, the vent 21 diameter is typically limited in CIC devices to 0.6 - 0.8 mm (e.g., *Chasin*, pp. 27-28).

(3) Review of state-of the-art in related hearing device technology

Ahlberg et al and Oliviera et al in U.S. Patent Nos. (USPNs) 4,880,076 and 5,002,151 respectively, disclose a compressible polymeric foam assembly attached to an earpiece of a hearing device. The compressible foam assembly (Fig. 1 of both Ahlberg and Oliviera) is inserted in to the ear canal to couple sound and seal acoustically therein. The foam seal is attached serially to the earpiece, which adds a considerable dimension to overall length of the hearing device. Therefore, the application of such compressible foam assembly is limited to BTE and ITE devices which have housings positioned external to the ear canal.

Cirillo in USPN 4,830,139 discloses means for holding a speaker mold (16 in Cirillo's Fig. 1) in the ear canal via a sealant made of flexible gelatinous water-soluble material. The mold is attached to a wire (18) extending to the outside of the ear canal, and therefore,

Cirillo's proposal is presumably also for hearing devices that are positioned outside the ear canal. It does not deal with devices that are completely positioned in the ear canal. Furthermore, since the sealant is water-soluble, it can also be assumed to be suitable only for short-term use as it will deteriorate with moisture exposure (e.g., as will occur when the wearer is taking a shower or is caught in the rain).

Sauer et al in USPN 5,654,530 disclose an insert associated with an ITE device (Sauer's Fig. 1) or a BTE device (Sauer's Fig. 2). The insert is stated to be a "sealing and mounting element" made of "soft elastic material having slotted outer circumference divided into a plurality of fan-like circumferential segments". The sealing element is positioned at the lateral portion of the ear canal as shown in Sauer's figures. According to the patent, the insert is for ITEs and BTEs only, not for inconspicuous hearing devices that are deeply and completely inserted in the ear canal. The insert as disclosed is used in the cartilaginous area, thus occluding the ear canal in the region of hair, cerumen and sweat production. Clearly, long term use (without daily removal) will interfere in the natural production of physiologic debris.

Garcia et al. in USPN 5,742,692 disclose a hearing device (10 in Garcia's Fig. 1) attached to a flexible seal 30 which is fitted in the bony region of the ear canal. The device 10 comprises hearing aid components (i.e., microphone 12, receiver 15 and battery 16, etc., as shown by Garcia) which are contained within a single "unitary" housing 20. The device 10 is not likely to fit deeply and comfortably in many small and contoured canals due to the space inefficiency associated with the unitary housing 20. In addition to the size disadvantage, the device 10 occludes the ear canal in the cartilaginous region as shown in Garcia's Fig. 2.

Henneberger and Biermans in USPNs 4,680,799 and 4, 937, 876, respectively, also disclose hearing aids with conventional housings, which occlude the ear canal and comprise a unitary enclosure for microphone, battery and receiver components therein.

Weiss et al. in USPNs 3,783,201 and 3,865,998 disclose an alternate hearing device configuration which fits partially in the ear canal (Fig. 1 in both the Weiss '201 and '998 patents) with a separate microphone 14 and receiver 18. The main housing, enclosing battery and amplifier, is designed to fit in the concha area outside the ear canal as shown. The microphone 14 is positioned in the pinna completely outside the ear canal. The device is clearly visible to the casual observer.

Geib in USPN 3,527,901 discloses a hearing device with housing made of soft resilient material, which encloses the entire body of the device. This approach eliminates conventional rigid enclosures, and is presumably more comfortable to wear. However, the unitary flexible enclosure provides no improvement in space efficiency and also poses serious concerns regarding the reliability of interconnects, and of the device in general, during frequent handling. The disclosed hearing device was not designed to fit entirely in the ear canal, Geib stating that "the hearing aid makes a much better fit within the concha and ear canal of the user thereby providing a more effective seal and reducing the problems of direct acoustic feedback" (col 2, lines 40-43).

Hardt in USPN 4,607,720 discloses a hearing device which is mass-producible with a soft sealing plug that is serially attached to the receiver. Although the problem of custom manufacturing is addressed, the unitary enclosure (containing major hearing aid

components; battery, microphone and receiver) is, as with other prior art proposals, space-inefficient for deep canal fittings.

Voroba et al in USPN 4,870,688 also disclose a mass-producible hearing aid, which includes a solid shell core (20 in Veroba's Figs. 1 and 2) with a flexible covering 30 affixed to its exterior. Similarly, the rigid core represents a unitary enclosure for all major hearing aid components, and thus, is space-inefficient for deep canal fittings.

Hartl et al. in USPN 4,639,556 disclose a hearing aid with a flexible printed circuit board attached to a face-plate. The flexible circuit board and major hearing aid components are also enclosed in a unitary housing (1 in Hartl's Fig. 1). Similarly, this leads to a space-inefficient design for deep canal fittings.

McCarrel et al, Martin, Geib et al, and Adelman, in USPNs 3,061,689, RE 26,258, 3,414,685 and 5,390,254, respectively, disclose miniature hearing devices with a receiver portion flexibly separate from a main part. The receiver portion insertable into the ear canal with the main part occupying the concha (McCarrel's Fig. 2, Geib's Fig 10, and Adelman's Fig. 3B). This placement facilitates access to the device for insertion and removal. In each of these disclosures, the aforementioned main part contains all the major components of the hearing device, including among others the battery, amplifier and microphone, except the receiver. Therefore, this main part is not sufficiently space-efficient to fit past the aperture of the ear canal for most individuals.

Shennib et al in USPN 5,701,348 disclose an articulated hearing device with flexibly connecting modules, stating that "the main module 12 includes all of the typical

components found in hearing devices, except for the receiver” (col. 6, lines 64-66). The main module includes a battery 16, a battery compartment 15, circuit 17 (amplifier) and microphone 14. Because of its articulated design and assorted soft acoustic seal 43, the hearing device disclosed by Shennib is suitable to fit a variety of ear canals without resorting to custom manufacturing, and thus can be mass-producible as disclosed. Although a CIC configuration is disclosed (see Shennib's Fig. 23), the depth of insertion, particularly for small and contoured ear canals, is severely limited by the design of the main module 12 which contains the power source (battery) along with other major components (e.g., the microphone). Furthermore, in each of its disclosed configurations, the device substantially occludes the ear canal in the cartilaginous region, which would interfere with hair and the natural production of physiologic debris. In addition, the disclosed CIC configuration is designed for insertion and removal by a wearer with good dexterity (col. 11, lines 18-20). Therefore, the disclosed CIC device would be unsuitable for continuous long-term use in the ear canal, particularly for persons lacking such dexterity.

It is the principal objective of the present invention to provide a highly space-efficient hearing device, which is suitable to be completely positioned in the ear canal.

Another objective is to provide a design for a hearing device which is mass-producible, and which requires neither custom manufacture nor the taking of individual ear canal impressions.

A further objective of the invention is to provide a hearing device which occludingly seals the ear canal in the bony region, but not at the cartilaginous region, and thus

does not interfere with hair and the natural production and elimination of physiologic debris in the ear canal.

Yet another objective is to provide a semi-permanent hearing device which is inserted by a physician, or by other professionals under the supervision of a physician, for long-term use in the ear canal.

Semi-permanent, or alternatively long-term use, is defined herein as continuous placement and use of the hearing device within the ear canal without any removal, daily or otherwise, for at least a month.

Summary of the Invention

The invention provides a semi-permanent hearing device which is completely positioned within the ear canal of an individual for long-term use. The device comprises a sealing retainer substantially positioned in the bony region of the ear canal and a core assembly comprising a receiver assembly coaxially positioned within the sealing retainer.

The core assembly extends from the sealing retainer to the cartilaginous region in a non-occluding fashion, thus minimizing interference with hair and earwax production present in the cartilaginous part of the ear canal. In a preferred embodiment of the invention, the core assembly includes a battery assembly including a battery and a thin enclosure having substantially the shape and dimensions of the battery which is encapsulated therein. A connector having the shape of thin ribbon film provides electrical and flexible mechanical connectivity between the receiver assembly, centrally positioned battery assembly, and

microphone assembly positioned in the cartilaginous region. The invention is characterized by the absence of a unitary enclosure or main housing which typically encloses the battery and other components in prior art hearing device designs.

In the preferred embodiment, the hearing device is mass-producible and accommodates a variety of canal shapes and sizes without need for custom manufacturing or canal impressions. This desirable objective is accomplished by virtue of the flexibility of the universal core assembly and conformity of the assorted sealing retainer.

The hearing device of the invention is preferably inserted by a physician, or by another professional under the supervision of a physician, for placement entirely within the ear canal and exceptionally close to the eardrum. The space and energy efficient design allows for a comfortable continuous use within the ear canal for extended periods of time, exceeding one month, without the requirement of daily removal as with conventional CICs. In the preferred embodiments, the device is remotely switched on/off by a remote control for optionally conserving the battery energy while the device remains in the ear canal during sleep or non-use.

The invention eliminates the need for manual insertion and removal by the wearer and is therefore particularly suited for hearing impaired persons of poor manual dexterity.

Brief Description of the Drawings:

The above and still further objectives, features, aspects and attendant advantages of the present invention will become apparent from the following detailed description of certain preferred and alternate embodiments and method of manufacture and use thereof constituting the best mode presently contemplated of practicing the invention, when taken in conjunction with the accompanying drawings, in which:

FIG. 1 is a side view of the external ear canal, described above;

FIG. 2 is a cross-sectional view of the ear canal at the bony-cartilaginous junction for (a) small canal, (b) average size canal and (c) large canal, showing the relative dimensions of standard button cell hearing aid batteries, sizes 10A and 312;

FIG. 3 is a side view of the ear canal occluded by a conventional CIC hearing aid positioned therein, described above;

FIG. 4 is a side view of the ear canal showing an embodiment of the semi-permanent canal device of the present invention positioned completely therein, in which the cartilaginous region is unoccluded and the bony region is occluded with a sealing retainer;

FIG. 5 is a detailed side view of the semi-permanent canal device of **FIG. 4**, further illustrating replaceable debris guards for the microphone and receiver;

FIGS. 6a and **6b** are cross-sectional views of the ear canal showing the non-occlusive microphone assembly of an embodiment of the canal device of the present invention positioned in the cartilaginous region, providing substantial air-space and no contact with the walls of the ear canal (**FIG. 6a**), and substantial air-space and minimal contact with the walls

or physiologic debris of the ear canal (**FIG. 6b**);

FIGS. 7a and **7b** are cross-sectional views of the ear canal showing the receiver assembly and sealing retainer of an embodiment of the canal device of the invention positioned in the bony region with occlusion thereof (**FIG. 7a**), and with venting incorporated in the sealing retainer (**FIG. 7b**);

FIG. 8 is an electrical schematic diagram of a prototype embodiment of the canal device of the invention;

FIG. 9 is a graph of the acoustic response of the prototype embodiment of **FIG. 8** showing the acoustic effect with and without the moisture-proof debris guards placed on the microphone and the receiver of the prototype embodiment;

FIG. 10 is a detailed exploded side view of the flexible connector, battery, microphone and receiver parts of an embodiment of the canal device of the present invention, showing the parts unassembled;

FIG. 11 is a cross-sectional view of the ear canal showing the battery assembly of an embodiment of the canal device of the invention positioned therein, with flexible connector, battery and battery enclosure;

FIG. 12 is a side view of the ear canal showing a programmable embodiment of the canal device of the invention positioned in the ear canal with sealing retainer extending substantially over the battery, and also illustrating a probe tube system with probe tube and external amplifier according to the invention;

FIG. 13 is a side view of the ear canal showing an embodiment of the canal device of the invention positioned in the ear canal with a latchable magnetic switch and an external control magnet;

FIG. 14a is a detailed view of a moisture-proof debris guard in the form of an adhesive pad showing the adhesive layer and receiver sound port for an embodiment of the canal device of the invention; and **FIG. 14b** is a perspective view of the moisture-proof adhesive pad of **FIG. 14a** showing the adhesive layer and adhesive-free area;

FIG. 15 is a side view of the ear canal showing an alternate embodiment of the canal device of the invention positioned entirely in the ear canal and substantially in the bony region thereof;

FIGS. 16a and **16b** are perspective views of a preferred embodiment of the sealing retainer of the canal device, taken respectively from the side (**FIG. 16a**) and from the lateral end (**FIG. 16b**), showing a lateral cavity which partially accommodates the battery assembly indicated by the dotted circle; and

FIG. 17 is a side view of the ear canal showing the central location of the three regions representing the cartilaginous region (C), the bony-cartilaginous junction region (J) and the bony region (B).

Detailed Description of the Preferred Embodiments and Methods of the Invention

The present invention provides a semi-permanent hearing device which is adapted to be entirely positioned in the ear canal for long term use. For the sake of additional clarity and understanding in the ensuing detailed description, the disclosures of the
5 aforementioned related co-pending '533 application and '764 application (see section titled "Cross-Reference to Related Applications", above) are incorporated herein by reference.

The canal hearing device **30** of the invention will be described with reference to **FIGS. 4-16**, in which the same reference numbers are used throughout to indicate elements which are common to the several Figures. Hearing device **30** generally comprises a core assembly **35** and a sealing retainer **70** constructed and adapted to be positioned substantially
10 in the bony region **13** of the ear canal. The core assembly **35** includes a receiver (speaker) assembly **60**, which is coaxially positioned within the sealing retainer **70**.

The core assembly **35** extends to the cartilaginous region **11** in a non-occluding fashion, thus minimizing interference with hair and earwax production present in the cartilaginous part of the ear canal **10**. The core assembly **35** also includes a battery assembly
15 **50** having a shape and dimensions substantially equivalent to those of the enclosed battery **51**, recognizing that battery assembly **50** has a slightly larger size to accommodate snug enclosure of the battery **51** therein. A connector **53**, in the shape of thin circuit film or ribbon cable, provides electrical and mechanical connectivity between the receiver assembly **60**, the battery
20 assembly **50**, and a microphone assembly **40**, the latter being positioned in the cartilaginous region **11** when the hearing device is fully inserted and seated in the ear canal for normal use.

The connector **53** is enclosed within the thin enclosure **52** of the battery assembly **50** and extends to the microphone assembly **40** and receiver assembly **60** for connection thereto.

In a preferred embodiment, shown in **FIGS. 4-7**, the sealing retainer **70** is adapted to be positioned, as shown, substantially in the bony region **13** concentrically or coaxially over the receiver assembly **60**. The sealing retainer **70** is configured to provide the primary support for the device **30** within the ear canal **10**. To that end, sealing retainer **70** substantially conforms to the shape of walls **14** of the ear canal in the bony region **13** and retains the device securely within the ear canal **10**. The microphone assembly **40**, including a microphone **43** therein, is non-occludingly positioned in the cartilaginous region **11** with little or no contact with the walls of the ear canal, thus allowing for a substantial air space **49** therebetween as shown in **FIGS. 4, 6a** and **6b**. This minimal contact of the microphone assembly **40** allows for natural production and lateral migration of cerumen (earwax) and other debris in the cartilaginous region **11**. The receiver assembly **60**, in contrast, occludes the ear canal in the bony region **13** via the associated sealing retainer **70**, as shown in **FIG. 7**.

The microphone assembly **40**, battery assembly **50**, and receiver assembly **60** each having an individual thin encapsulation **45** (**FIGS. 6a, 6b**), **52** (**FIG. 11**) and **62** (**FIGS. 7a, 7b**), respectively. The encapsulation preferably comprises a moisture-proof material or coating such as silicone, paralene or acrylic. The thin encapsulation may be made soft, such as soft silicone, or rigid, such as hard acrylic. Any exposed part of connector **53** extending from battery assembly **50** must be moisture-proofed in order to protect the hearing device from the damaging affects of moisture produced within or outside the ear canal.

The connector **53** and battery **51** are encapsulated by a thin disposable enclosure **52** according to the disclosure of the '764 application. The battery assembly **50** minimally occludes the ear canal and is preferably positioned substantially at or beyond the bony-cartilaginous junction **19** (**FIG. 1**; see, also, **J** of **FIG. 17**).

5 In order to protect the microphone and receiver of the hearing device **30** from the damaging effects of moisture and debris, microphone debris guard **42** (**FIG. 5**) and receiver debris guard **67** are placed on microphone and receiver ports **46** and **63**, respectively. The microphone guard **42** in the embodiments of **FIGS. 4** and **5** is in the form of a replaceable cap with a cap body **48** (**FIG. 5**) fitted over the microphone port **46** (in the direction of arrow **31**) and guard member **47** made of a thin membrane or screen material that is substantially
10 transparent to sound. Similarly, receiver guard **67** (**FIG. 5**) may also be in the form of a replaceable cap with cap body **65** fitted over the receiver in the direction of arrow **32** with guard member **65** positioned over receiver sound port **63**. The effect of the microphone and receiver guards **42** and **67**, respectively, on the acoustic response of the hearing device is
15 detailed below in the section titled Experiment-C.

When the hearing device **30** is fully inserted in its normal position in the ear canal **10**, the microphone assembly **40** is positioned at the cartilaginous region **11** with a substantial air-space **49** all around the microphone assembly (**FIGS. 6a, 6b**), between the enclosure **45** of the microphone assembly and the canal skin **16** (walls). The microphone
20 assembly **40** is positioned substantially clear from hair **12** and physiologic debris **4** produced in the cartilaginous region **11**.

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The alternate ear canal 10' of FIG. 6b is depicted as being relatively narrow, and although a substantial air-space 49 is also present, the microphone assembly 40 makes minimal contact with the wall of the canal or with physiologic debris 4 therein at the contact area 5. However, connector 53, which is flexibly connected to battery assembly 50 in the preferred embodiment, allows microphone assembly 40 freedom to move along the cross-section of the ear canal in response to pressure from physiologic debris 4 production or canal deformations associated with jaw movements.

As shown in FIGS. 7a and 7b, the receiver assembly 60 is positioned in ear canal 10 at bony area 13, with sealing retainer 70 in direct contact with canal skin 14 (walls), thus occluding the bony area of the canal. A vent 61 (FIGS. 5 and 7a) is provided for pressure equalization during insertion and removal or during changes in atmospheric pressure. The vent may alternatively be provided across the sealing retainer 70 (FIG. 7b). The vent 61 is also provided to minimize occlusion effects described above.

The microphone assembly 40 in the preferred embodiment of FIGS 4-6, comprises a microphone 43, a control element 41 (i.e., volume trimmer as shown in FIG. 5) and switch assembly 44. The switch assembly 44 comprises a latchable read-switch assembly (RS in FIG. 8), which is remotely activated by a remote magnet (e.g., 120 in FIG. 13) according to the disclosure in the '533 application. The microphone 43 comprises a microphone transducer with an integrated signal processing amplifier (for example, series FI-33XX manufactured by Knowles Electronics of Itasca, IL). This integration reduces the size of the microphone assembly, which further reduces occlusion effects within the ear canal at the

cartilaginous region. Alternatively, the signal processing amplifier may be a separate component, as shown at **28** in the embodiment of **FIG. 12**.

A schematic diagram of an electroacoustic circuit of the embodiment in **FIGS. 4-7** is shown in **FIG. 8**. The microphone **M**, comprising a microphone transducer and signal processing amplifier integrated therein, picks up acoustic signals S_M entering the ear canal and produces amplified electrical signal at terminal **OUT** of microphone **M**. The electrical signal is then delivered to input (**IN**) terminal of the receiver **R** via coupling capacitors **C1** and **C2**. The receiver **R** then produces amplified acoustic signal S_R for delivery to the tympanic membrane **18** (**FIG. 4**). Volume trimmer **R_G**, connecting the output (**OUT**) and feedback (**FB**) terminals of the microphone **M**, is adjusted to set the gain (volume) of the electroacoustic circuit. Jumper **J1** (also shown in **FIG. 5**) may be removed (by cutting for example) to reduce the coupling capacitance, thus altering the frequency response of the hearing device as known to those skilled in the art of electronics. Other jumpers (not shown) may also be incorporated in order to increase the range of adjustable parameters of the hearing device. A capacitor **C_R** is employed to stabilize the supply voltage (**V+**) across the supply terminals (+ and -) of the receiver **R**.

The acoustic response of a device fabricated according to the embodiment of **FIGS. 4-7** and the electroacoustic circuit of **FIG. 8** was measured and plotted in **FIG. 9** with and without moisture-proof guards as detailed below in the section titled Experiment-C.

The connector **53**, in the preferred embodiment shown in more detail in **FIG. 10**, comprises a flexible film **54** with circuit wires **55**, **56**, **57** and **58**, which electrically

interconnect the microphone **43**, receiver **64**, battery **51**, volume trimmer **41**, and other components (which are not shown, for the sake of clarity), such as switch assembly **44** (shown in **FIG. 5**) and capacitors. The microphone **43** (shown unassembled) is soldered to the connector **53** via solder terminals **81** on the lateral section **83** of the flexible film **54** and solder terminals **81'** on the microphone **43**. Similarly the receiver **64** is soldered to the connector **53** via solder terminals **82** on the medial section **85** of the flexible film **54** and solder terminals **82'** on the receiver **64**. Conductive pads **91** and **92** on the connector provide power connectivity from the positive **94** and negative **97** (**FIG. 11**) terminals, respectively, of the battery **51**. Volume trimmer **41** is also connected to solder terminals **81** via trimmer solder terminals **41'**.

The lateral and medial sections **83** and **85** respectively of film **54** are flexibly bendable with respect to the main section **87**, thus allowing the connected microphone assembly **40** and receiver assembly **60** to articulate within the ear canal during insertion and removal of the hearing device. A crossing section **88** of the connector **53** also bends in the direction of arrow **93** (into the paper) in order to connect conductive pad **92** to the negative terminal **97** (**FIG. 11**) of the battery. The flexible film **54** is provided with relief notches **84**, **86** and **89** which increase the flexibility of the sections **83**, **85** and **88**, respectively. The battery **51**, main section **87**, and crossing section **88**, are encapsulated by thin disposable battery encapsulation **52** (**FIG. 11**) for securing the connector **53** and the associated conductive pads **91** and **92** to the battery. The main section **87** includes a vent hole **95** for allowing air circulation to battery hole **96**, typically available in air-zinc hearing aid batteries. Similarly, the battery encapsulation **52** must allow for the necessary aeration of the battery enclosed therein.

FIG. 11 shows a cross sectional view of the battery assembly **50** in the ear canal **10** showing main section **87** of connector **53**, battery **51** and battery encapsulation **52**. The crossing section **88**, extending from main section **87** is also shown crossing to the negative terminal **97** of the button cell battery **51**. Circuit wires **55**, **56** and **57** are also shown. The battery encapsulation **52** is thin and substantially conforms to the shape of the battery, thus adding negligible dimensions to the enclosed battery. The battery enclosure should be less than 0.3 mm in thickness in order for the battery assembly to minimally occlude the ear canal and to fit comfortably in the vicinity of the bony-cartilaginous area for most individuals.

In another embodiment, shown in **FIG. 12**, the hearing device **100** has a microphone assembly **40**, which extends substantially laterally in the cartilaginous area **11** as shown. The sealing retainer **70**, although remaining substantially in the bony region **13**, is concentrically positioned over both the receiver assembly **60** and the battery assembly **50**. The receiver assembly **60** protrudes from the sealing retainer medially towards the tympanic membrane **18**. The hearing device **100** is also shown as being programmable with a programming receptacle **101** for receiving programming signals from a programming connector **102**. The programming connector comprises programming pins **103** which are temporarily inserted into the programming receptacle **101** during the programming of the hearing device **100**. The capability to be programmable allows hearing device **100** to be electronically adjusted via an external programming device **105 (P)** and its associated programming cable **106**. Other means for remotely programming or adjusting a hearing device are well known in the field of hearing aids and include the use of sound, ultrasound, radio-frequency (RF), infra-

red (IR) and electromagnetic (EM) signals.

FIG. 12 also shows a probe tube system **110** for the measurement of sound pressure level (SPL) produced by the hearing device **100** in the ear canal. The probe tube system comprises a probe tube **111**, a microphone **112** and amplifier (A) **113**. Electrical cable **116** connects the microphone **112** to the amplifier **113**. The probe tube **111** is inserted in the ear canal with its tip **115** past the receiver assembly **60** near the tympanic membrane **18**. Probe tube measurements in the ear canal are employed during the fitting process for the *in-situ* (while in the ear canal) electroacoustic adjustment and verification of the fitted hearing device.

Removal handle **107** may be provided for the removal of the hearing device **100**, particularly during an emergency situation, such as infection of the ear canal or irritation therein.

In a preferred embodiment of a remote control, shown in **FIG. 13**, the hearing device **30** comprises a latchable reed-switch assembly **44** (RS) for remotely powering the hearing device ON/OFF via an external control magnet **120** which is positioned by the wearer (user) at the vicinity of the concha **2**. The control magnet **120** in the preferred embodiment has two opposing polarities; a north (N) pole **121** and south (S) pole **122**, across the length of the control magnet **120** as shown. The flux lines **123** emanating from the north pole towards the south pole affect the lateral (nearer) lead **44'** of latchable reed-switch assembly **44**. Flux lines **123** either latch on or off the reed-switch assembly **44** according to the polarity of the control magnet **120** nearest to lead **44'**. The read-switch assembly **44** comprises a latching magnet (not shown) as disclosed in greater detail in the aforementioned '533 application, and allows hearing

device **30** to be turned off to conserve battery power during sleep and other non-use periods while the device remains in the ear canal for long-term use.

The encapsulations **45** and **62** of the microphone receiver assemblies **40** and **60**, respectively, are each made of thin protective material that substantially conforms to the shape of the components encapsulated therein. The thickness of each encapsulation is preferably less than 0.3 mm in order to minimize occlusion of the microphone assembly **40** (see **FIGS. 6a** and **6b**) in the ear canal and to maximize the relative dimension of the conforming sealing retainer **70** in the bony region **13** (see **FIGS. 7a** and **7b**). Since the semi-permanent hearing device of the invention is handled relatively infrequently, the thickness of the encapsulation can be safely made substantially thinner than conventional enclosures of CIC devices which are typically in the range of 0.5 - 0.7 mm.

In another embodiment of the moisture-proof debris guard, shown in **FIGS. 14a** and **14b** for a receiver assembly **60**, the debris guard **67** is made in the form of an adhesive pad. The receiver debris guard is composed of an acoustically-transparent material **65** with an adhesive layer **69** on its lateral surface for attachment to the medial surface **63'** of receiver assembly **60**. The receiver assembly's medial surface **63'** includes the receiver sound port **63** which emits receiver sound S_R that passes through the debris guard **67** as illustrated by the arrow. The adhesive layer **69** is partially relieved from adhesive material in the adhesive-free area **65'** corresponding to or mating with receiver sound port **63**. The adhesive-free area **65'** is necessary since adhesives are generally not acoustically transparent, and thus will adversely alter the frequency response of the receiver **64** if applied directly over the sound port **63**. The

adhesive pad configuration of the debris guard is equally applicable for both the microphone and receiver sound ports, as shown at **42** and **67**, respectively, in **FIGS. 12-15**. The adhesive pad is preferably replaceable and disposable.

The present invention, shown with button cell batteries in the above
5 embodiments, is equally suited to accommodate other battery shapes and configurations as they are likely to be available in future hearing aid applications. The thin enclosure of the battery assembly of the present invention, regardless of the type of battery used, conforms substantially to the shape of the enclosed battery with encapsulation thickness not to exceed 0.3 mm for the preferred embodiments of the invention.

For example, in another embodiment of the present invention, shown in **FIG.**
10 **15**, a cylindrical battery **51** is employed with a hearing device **130** substantially positioned in the bony region **13** of the ear canal **10**. The microphone end **132** of the core assembly **35** extends laterally and non-occlusively in the cartilaginous region **11**. The receiver end **133** is coaxially positioned within sealing retainer **70**, which acoustically seals and conforms in the bony region **13**. A thin encapsulation **131**, not exceeding 0.3 mm, protects the entire core
15 assembly **35**, which comprises the microphone **43**, battery **51** and receiver **64** therein.

The sealing retainer **70**, shown in greater detail in **FIG. 16**, comprises a soft compressible and conforming material such as polyurethane foam or like material (a polymer) or silicone or like material. The sealing retainer **70** must provide significant acoustic
20 attenuation in order to seal and prevent feedback. In a preferred embodiment of the sealing retainer fabricated and tested within ear canals of individuals, a polyurethane foam sealing

retainer was molded from a mixture of 1-part aqueous solution (Polymer component Type 1A, manufactured by Hamshire Chemicals, Lexington, MA) and 2-part prepolymer (HYPOL™ 2002 also manufactured by Hamshire Chemicals). The mixture was poured into a silicone mold (REDU-IT™ manufactured by American Dental Supply Co. of Easton, PA) and allowed to
5 heat cure at approximately 195° F for about 15 minutes prior to removing from the silicone mold at room temperature.

The molded sealing retainer 70 did not include any rigid core material therein in order to maximize the fit and comfort within the bony region of the ear canal. The sealing retainer 70 was made oval with long diameter D_L approximately 1.6 times that of the short diameter D_S . The inferior (lower) portion 74 is relatively pointed to match the shape of typical ear canals in the bony region. The sealing retainer 70 is substantially hollow with air-space 72 between the body 73 of the sealing retainer and the receiver assembly 60 when inserted therein. The medial opening 71 of the sealing retainer is stretchable and is made smaller than the diameter of the receiver assembly 60 in order to provide a tight fit for sealing and securing the receiver assembly and the associated hearing device within the ear canal. Vertical and
15 horizontal cavities 75 and 76, respectively, in the shape of a cross, extend medially from the lateral end of the sealing retainer 70. These cavities, in conjunction with the internal air-space 72, increase the compressibility and conformity of the sealing retainer so that it can be worn more comfortably in the bony region 13 which is known for being extremely sensitive to
20 pressure. Furthermore, the cavities 75 and 76 allow for partial enclosure of the battery assembly (dotted circle) 50 therein as shown in FIG. 16a.

The sealing retainer 70, made of polyurethane foam material for example as described above, is compressible and subsequently expandable with time, thus allowing for a temporary compression state prior to and during insertion into the ear canal and a subsequent expansion to conform to the ear canal and seal therein.

5 In a preferred embodiment according to the invention, the sealing retainer 70 was fabricated in an assortment of four sizes (small, medium, large and extra-large) to accommodate the broadest range of ear canals among the population studied. The dimensions of such fabricated assortment are tabulated in Table 1 below. The dimensions were partially derived from measurements of actual ear canal dimensions obtained from cadaver impressions as explained below in the section titled Experiment-A. The sealing retainer may be produced in an assortment of other sizes and shapes as needed to accommodate an even wider diversity of ear canals when studied.

Table 1

Size	Short Diameter (D_L) in mm	Large Diameter (D_L) in mm
Small	4.5	7.25
Medium	5.75	9.35
Large	7.3	12
Ex-Large	9.0	15

20 The sealing retainer is preferably disposable and must be biocompatible and hypoallergenic for a safe prolonged wear in the ear canal. The sealing retainer may incorporate a vent 6 as shown in FIG. 7b. This vent may created by inserting or molding a narrow-diameter silicone tube therein, for example.

Certain individuals may have difficulty wearing the sealing retainer due to the sensitivity of their ear canal, medical condition, or other concerns. Therefore, the sealing retainer may be separately inserted, without the core assembly, for a period of time sufficient to assess comfort and appropriateness of wear prior to inserting the entire hearing device semi-permanently. This may represent a “trial wear” for an individual who may be reluctant to wear or purchase the device for whatever reason.

The semi-permanent hearing device of the present invention comprises a disposable battery, disposable battery enclosure, or alternatively a disposable battery assembly with combined battery and enclosure. However, as energy efficiency improvements in battery, circuit and transducer technologies continue to improve, the preferred embodiment may be that of a disposable core assembly with assorted sealing retainers as described above.

Experiment A.

In a study performed by the applicants herein, the cross-sectional dimensions of ear canals were measured from 10 canal impressions obtained from adult cadaver ears. The long (vertical) and short (horizontal) diameters, D_L and D_S respectively, of cross sections at the center of three regions in the ear canal (see **FIGS 2 and 17**) were measured and tabulated. These regions represent the cartilaginous (**C**), the bony-cartilaginous junction (**J**), and the bony (**B**) regions. The diameters were measured across the widest points of each cadaver impression at each region. All measurements were taken by a digital caliper (model CD-6"CS manufactured by Mitutoyo). The impression material used was low viscosity Hydrophilic Vinyl

Polysiloxane (manufactured by Densply/Caulk) using a dispensing system (model Quixx manufactured by Caulk). Measurements are set forth in Table 2, below.

Table 2

Sample #	C-Region Diameters in mm		J-Region Diameters in mm		B-Region Diameters in mm	
	Short (D _s)	Long (D _L)	Short (D _s)	Long (D _L)	Short (D _s)	Long (D _L)
1-R	7.8	10.3	8.1	10.7	8.0	10.5
1-L	7.8	11.9	8.3	12.2	8.1	11.2
2-R	3.8	8.9	4.0	8.9	4.2	8.9
2-L	5.3	8.1	4.4	8.8	4.3	8.6
3-R	5.5	6.3	4.7	6.7	5.0	7.7
3-L	4.9	6.5	4.9	6.5	4.9	7.3
4-R	6.9	9.2	6.5	9.6	6.7	10.4
5-R	6.9	9.2	7.2	8.4	7.5	9.5
5-L	6.8	8.2	7.6	9.4	7.5	8.7
7-L	6.3	7.0	5.1	6.7	4.9	6.7
Average	6.2	8.6	6.1	8.8	6.1	9.0

Results and Conclusion

The diameter dimensions of the ear canal vary significantly among adult individuals. In general, variations occur more so across the short (horizontal) diameters. Furthermore, the ear canal is slightly narrower (long/short ratio) in the bony region than in the other two regions. Although not apparent from the above measurements, the cartilaginous region is expandable which facilitates insertion of wider objects through it towards the deeper region, if necessary.

Experiment B

A test of insertion fit of the semi-permanent canal device was performed using the battery assembly of the invention. The battery assembly was selected because it represents the largest of all assemblies in the hearing device according to the present invention.

5 Using the 10 cadaver impressions described above in Experiment-A, 10 actual-size ear canal models were fabricated by dip-forming clear acrylic material (Audacryl-acrylic manufactured by Esschem). Two battery assemblies according to the embodiment of **FIGS. 10-11** were fabricated and inserted in each of the 10 ear canal models up to the bony-cartilaginous junction area. The first assembly comprised a size-10A battery and the second comprised size-312 battery (each is a standard button cell hearing aid battery; see **FIG. 2**). Each battery assembly included a thin flexible connector and was encapsulated with silicone conformal coating (model MED 10-6605 manufactured by NuSil). The thickness of the coating measured approximately 0.05 mm, thus adding negligible dimensions to the battery assembly and flexible connector thereof. The diameter (D) and height (H) of each assembly was measured across the widest points as tabulated in Table 3, below.

FIG. 2 is a cross-sectional view of the ear canal at the bony-cartilaginous junction for (a) the smallest canal, (b) an average size canal and (c) the largest canal. The relative dimensions of standard 10A and 312 batteries are also shown.

20 The thickness of several shells of conventional hearing devices were also measured for comparison analysis (measuring between 0.5 mm and 0.7 mm). For a conventional hearing device enclosing size-10A battery, the added dimensions of (1) the shell

(0.5 mm or more, adding a minimum of 1 mm to the dimensions) and (2) other enclosed components, prohibit insertion of the device at the bony-cartilaginous junction (**J**) area for at least 5 of the above ear canals (2-R, 2-L, 3-R, 3-L and 7-L). This is further exacerbated by the fact that ear canals are often tortuously contoured, thus making it painful if not impossible to insert the conventional CIC device too deeply in seeking to gain access to the bony region of the ear canal. For conventional CIC devices with size-312 battery (larger than 10-A), deep fitting is only likely for very large ear canals, such as 1-R and 1-L.

Table 3

Battery Assembly	Height (H) in mm	Diameter (D) in mm
10A Bat.	4.4 (H)	6.5 (D)
312 Bat.	4.5 (H)	8.0 (D)

Results and Conclusion

The first battery assembly (size-10A) was successfully inserted up to the bony-cartilaginous junction (**J**) region in 9 of the 10 ear canal models, excepting 2-R which has dimensions of 4.0 x 8.9 mm (**D_s** x **D_L**) as shown in **FIG. 2**.

The second battery assembly (size-312) was successfully inserted up to the bony-cartilaginous junction in 5 of the 10 ear canal models. This is particularly significant, since size-312 batteries are virtually excluded from conventional CIC devices due to their excessive size in conjunction with conventional CIC designs.

The results confirm that the present invention is more space-efficient and would allow the battery assembly to fit in the bony-cartilaginous junction area and beyond for most adult individuals with size-10A batteries and a significant percentage of adult individuals with size-312 batteries.

5 Experiment C

A prototype of the semi-permanent hearing device according to the embodiment of FIGS. 4-10 was fabricated and positioned by an otolaryngologist (ear-nose-throat physician) in the left ear canal of a 55 year old male subject who suffered a moderate level of high frequency hearing loss.

The circuit of FIG. 8 was implemented with a miniature microphone/amplifier (model FI-3342 manufactured by Knowles Electronics of Itasca, IL), class-D receiver (model FS3379 also manufactured by Knowles Electronics), and miniature 250K ohm volume trimmer R_G (model PJ-62 manufactured by Microtronics A/S of Denmark). Miniature capacitors C_1 , C_2 and C_R with values of 2.2 nF, .01 uF and 2.2 uF, respectively were employed. A reed switch assembly (**RS**) employing a miniature reed-switch (model HSR-003DT, manufactured by Hermetic Switch, Inc. of Chickasha, Oklahoma) and a miniature Neodymium Iron Boron (NdFeB) magnet for latching the reed-switch.

Two layers of thin Kapton tape (#042198 GUA distributed by Economic Packaging Corp. of Milpitas, California) were employed to fabricate a thin flexible connector which embedded circuit wires made of 44 AWG Litz wire.

guard (solid line labeled Moisture Guard on Receiver Only), and with debris guards on both receiver and microphone (dotted line labeled Moisture Guard on Receiver and Microphone).

Results and Conclusion

There was a slight sound degradation (approximately 4 decibels (dB)) at frequencies of 3000 and above compared to the No Moisture Guard condition. However, this represents a minimal acoustic impact which can be easily compensated for electronically or by the employment of thinner guard material.

The prototype device, including receiver and microphone debris guards according to the embodiment of FIG. 5, and the sealing retainer, was worn deeply and completely inconspicuously in the ear canal of the 55 year old subject. The tip of the receiver was approximately 2-3 mm from the tympanic membrane. The volume trimmer was adjusted *in-situ* by a miniature screwdriver until the preferred volume level was reached for the subject who reported good sound fidelity and comfort of wear. The device was worn comfortably during sleep. The subject was also able to shower while the device was in the ear canal without adverse affects on the perceived quality of sound.

It should also be noted that the moisture-proofing provided by the debris guards and enclosures according to the invention can even afford the wearer the opportunity to engage in normal swimming without fear of damage to or loss of fidelity of the hearing device. It would not be recommended that the wearer engage in diving or prolonged underwater swimming, however.

It is also worth emphasis that the sealing retainer itself provides significant advantages for use with a semi-permanent hearing device adapted to be inserted entirely within the ear canal of a wearer past the aperture. The sealing retainer is configured for concentric positioning over a medial part of a core assembly of the hearing device so that the core assembly extends laterally within and makes minimal or no contact with the walls of the cartilaginous region of the ear canal. The core assembly is suspended within and snugly supported at the medial part by the sealing retainer, and is arranged and adapted to protrude medially beyond the sealing retainer in a preferred embodiment. The sealing retainer is further configured for seating securely within and occluding the bony region of the ear canal when the semi-permanent hearing device is fully inserted within the ear canal of the wearer. The sealing retainer is sufficiently soft and yielding to conform itself to the shape of the ear canal in the bony region.

Consequently, the sealing retainer provides acoustic sealing of the bony region to prevent feedback, and the lateral extension of the core assembly avoids substantial interference with hair and production of cerumen and debris in the cartilaginous region.

According to another aspect of the invention, in a method of testing a hearing-impaired individual's tolerance to long-term wearing of a semi-permanent hearing device inserted entirely within the ear canal past the aperture thereof, the testing is performed without requiring the individual to actually wear the entire hearing device. The method includes a first step of inserting the sealing retainer into the ear canal, with the air cavity of the retainer unoccupied by the core assembly, until the retainer is seated securely against the walls in the

bony region. The sealing retainer is removed from the ear canal after having been worn by the individual for a period of sufficient length to determine the long-term tolerance. The individual is interviewed to assess his or her view of the level of comfort and sensitivity to the presence of the device in the ear canal. The ear canal is also examined after removal of the sealing
5 retainer.

It is highly desirable to maintain an inventory of assorted sizes and shapes of the sealing retainer for selection of an appropriate fit for the ear canal of the individual.

Although a presently contemplated best mode of practicing the invention has been described herein, it will be recognized by those skilled in the art to which the invention
10 pertains from a consideration of the foregoing description of presently preferred and alternate embodiments and methods of fabrication and use thereof, that variations and modifications of this exemplary embodiments and methods may be made without departing from the true spirit and scope of the invention. Thus, the above-described embodiments of the invention should
15 not be viewed as exhaustive or as limiting the invention to the precise configurations or techniques disclosed. Rather, it is intended that the invention shall be limited only by the appended claims and the rules and principles of applicable law.

What is claimed is:

1 1. A semi-permanent hearing device adapted to be inserted entirely within
2 a wearer's ear canal past the aperture thereof for long-term use therein, comprising:
3 a sealing retainer adapted to be seated in the bony portion of the ear canal along
4 the longitudinal axis and in direct contact with the walls thereof when said device is fully
5 inserted within the ear canal of the wearer;
6 a receiver assembly including a receiver for supplying acoustic signals processed
7 by said device to the tympanic membrane of the wearer, said receiver assembly being arranged
8 and adapted to mate with said retainer for positioning in the bony portion of the ear canal;
9 a microphone assembly including a microphone for receiving incoming acoustic
10 signals for processing by said device;
11 a battery assembly including a battery for powering said device; and
12 a flexible connector electrically and mechanically connecting said battery
13 assembly, said receiver assembly and said microphone assembly so that said microphone
14 assembly is flexibly supported in the cartilaginous portion of the ear canal to be substantially
15 non-occluding therein with minimal or no contact with the walls thereof, whereby to avoid
16 substantial interference by said microphone assembly with hair and production of cerumen and
17 debris within said ear canal.

1 2. The semi-permanent hearing device of claim 1, wherein said battery
2 assembly includes a thin enclosure substantially conforming to the shape of said battery, said
3 enclosure encapsulating and supporting said battery therein.

1 3. The semi-permanent hearing device of claim 1, wherein said sealing
2 retainer is sufficiently soft and yielding to conform itself to the shape of the ear canal in said
3 bony portion for long-term retention in a seated position therein when said device is fully
4 inserted into the ear canal.

1 4. The semi-permanent hearing device of claim 1, wherein said sealing
2 retainer includes a cavity to accept said receiver assembly in mating relationship therewith.

1 5. The semi-permanent hearing device of claim 2, wherein each of said
2 receiver assembly and said microphone assembly includes a respective thin enclosure
3 encapsulating said receiver and said microphone respectively, whereby, together with said thin
4 enclosure of said battery assembly, to inhibit contamination and damage of said device.

1 6. The semi-permanent hearing device of claim 5, wherein each of said thin
2 enclosures is moisture-proof.

1 7. The semi-permanent hearing device of claim 5, wherein each of said thin
2 enclosures has a wall thickness not exceeding 0.3 mm.

1 8. The semi-permanent hearing device of claim 1, wherein each of said
2 receiver and said microphone has a port for passage of the respective acoustic signal
3 therethrough, and further including at least one debris guard for mating with at least one of said
4 microphone and said receiver without substantial interference with passage of said acoustic
5 signal through the respective port.

1 9. The semi-permanent hearing device of claim 8, wherein said at least one
2 debris guard is moisture proof.

1 10. The semi-permanent hearing device of claim 8, wherein said at least one
2 debris guard is substantially acoustically transparent.

1 11. The semi-permanent hearing device of claim 8, wherein said at least one
2 debris guard comprises a replaceable cap.

1 12. The semi-permanent hearing device of claim 11, wherein said replaceable
2 cap comprises a body member and guard member.

1 **19.** The semi-permanent hearing device of claim **18**, wherein said reed-
2 switch assembly includes a miniature latching magnet to enable latching of said reed-switch
3 assembly.

1 **20.** The semi-permanent hearing device of claim **1**, further including means
2 associated with said device for remote power switching or control of said device.

1 **21.** The semi-permanent hearing device of claim **20**, wherein said means for
2 remote power switching or control of said device comprises an external control magnet sized
3 to be hand-held.

1 **22.** The semi-permanent hearing device of claim **21**, wherein said external
2 control magnet is bar-shaped.

1 **23.** The semi-permanent hearing device of claim **1**, wherein said microphone
2 assembly includes amplifier means integral therewith for processing said incoming signal.

1 **24.** The semi-permanent hearing device of claim **1**, wherein said flexible
2 connector comprises a thin film circuit.

1 **25.** The semi-permanent hearing device of claim **24**, wherein said thin film

2 circuit comprises a main section associated with said battery assembly, a medial section
3 associated with said receiver assembly, and a lateral section associated with said microphone
4 assembly.

1 26. The semi-permanent hearing device of claim 25, wherein said medial and
2 lateral sections are bendable with respect to said main section.

1 27. The semi-permanent hearing device of claim 1, wherein said flexible
2 connector includes electrically conductive pads for connection to terminals of said battery.

1 28. The semi-permanent hearing device of claim 1, wherein said flexible
2 connector includes a crossing section for connection to a crossing terminal of said battery.

1 29. The semi-permanent hearing device of claim 1, further including
2 manually adjustable control means for adjusting at least one electroacoustic parameter of said
3 device.

1 30. The semi-permanent hearing device of claim 29, wherein said manually
2 adjustable control means includes at least one electrical jumper for enabling said adjustment.

1 **31.** The semi-permanent hearing device of claim **1**, including programming
2 means for selectively adjusting electroacoustic parameters of said device.

1 **32.** The semi-permanent hearing device of claim **31**, wherein said
2 programming means includes a programmer external to said device and adjustment means
3 internal to said device responsive to programming signals from said programmer for performing
4 said selective adjustment.

1 **33.** The semi-permanent hearing device of claim **32**, wherein said
2 programmer includes an electrical cable for connection to said device for delivering said
3 programming signals to said internal adjustment means.

1 **34.** The semi-permanent hearing device of claim **32**, wherein said
2 programmer and said internal adjustment means include means for remote programming of said
3 device by delivery of said programming signals without a physical connection therebetween.

1 **35.** The semi-permanent hearing device of claim **34**, wherein said remote
2 programming means includes means for transmitting and receiving at least one of sound,
3 ultrasound, magnetic, electromagnetic, radio frequency and infrared signals as said
4 programming signals.

1 **36.** The semi-permanent hearing device of claim **1**, including measuring
2 means for performing in-situ probe tube measurements of parameters of said device.

1 **37.** The semi-permanent hearing device of claim **3**, wherein said sealing
2 retainer is composed of a compressible material.

1 **38.** The semi-permanent hearing device of claim **37**, wherein said
2 compressible material is responsive to compression thereof to undergo delayed expansion over
3 time to assume a snug fit within said ear canal.

1 **39.** The semi-permanent hearing device of claim **1**, wherein said sealing
2 retainer is made of polyurethane foam or like material.

1 **40.** The semi-permanent hearing device of claim **1**, wherein said sealing
2 retainer is made of silicone or like material.

1 **41.** The semi-permanent hearing device of claim **1**, wherein said sealing
2 retainer is removable from said device and disposable for replacement thereof.

1 **42.** The semi-permanent hearing device of claim **4**, wherein said cavity is
2 positioned in said sealing retainer coaxially with an axis to substantially coincide with the
3 longitudinal axis of the ear canal in the bony portion thereof when said device is fully inserted
4 into the ear canal, whereby to support said receiver assembly along said longitudinal axis in the
5 vicinity of the tympanic membrane of the wearer.

1 **43.** The semi-permanent hearing device of claim **4**, wherein said cavity is
2 medially shaped to conform at least partially to said battery assembly for acceptance thereof.

1 **44.** The semi-permanent hearing device of claim **1**, wherein said sealing
2 retainer is configured to be fully seated in the bony portion of the ear canal by conforming itself
3 to the walls thereof, whereby to provide acoustic sealing within said bony portion for
4 preventing said acoustic signals emanating from said receiver from feeding back to said
5 microphone.

1 **45.** The semi-permanent hearing device of claim **1**, wherein said sealing
2 retainer is one among an assortment of sealing retainers of different sizes and shapes supplied
3 with said hearing device to accommodate the dimensions of the ear canal of the individual
4 wearer.

1 **46.** The semi-permanent hearing device of claim **1**, wherein said battery is
2 a button cell type battery.

1 **47.** The semi-permanent hearing device of claim **2**, wherein said battery is
2 removable from said thin enclosure for disposal when battery replacement is required.

1 **48.** The semi-permanent hearing device of claim **2**, wherein said battery
2 assembly is removable from said device for disposal when battery replacement is required.

1 **49.** The semi-permanent hearing device of claim **1**, wherein said receiver
2 assembly protrudes medially beyond said sealing retainer.

1 **50.** A semi-permanent hearing device adapted to be inserted entirely within
2 a wearer's ear canal past the aperture thereof for long-term use therein, comprising:
3 a sealing retainer adapted to be seated in the bony portion of the ear canal along
4 the longitudinal axis and in direct contact with the walls thereof when said device is fully
5 inserted within the ear canal of the wearer;
6 a receiver assembly including a receiver for supplying acoustic signals processed
7 by said device to the tympanic membrane of the wearer, said receiver assembly mating with said
8 sealing retainer for positioning said receiver in the bony portion of the ear canal;

9 a microphone assembly including a microphone for receiving incoming acoustic
10 signals for processing by said device;

11 a battery assembly including a battery for powering said device, a thin enclosure,
12 and a connector electrically connecting said battery, said receiver and said microphone;

13 said battery assembly having a shape substantially equivalent to the shape of said
14 battery therein, and said microphone assembly extending laterally for positioning in the
15 cartilaginous portion of the ear canal with minimal or no contact with the walls for substantial
16 non-occlusion thereof, thereby minimally interfering with hair and production of cerumen and
17 debris within said ear canal.

1 **51.** The semi-permanent hearing device of claim **50**, wherein said sealing
2 retainer is sufficiently soft and yielding to conform itself to the shape of the ear canal in said
3 bony portion for long-term retention in a seated position therein when said device is fully
4 inserted into the ear canal.

1 **52.** The semi-permanent hearing device of claim **50**, wherein said sealing
2 retainer includes a cavity to accept said receiver assembly in mating relationship therewith.

1 **53.** The semi-permanent hearing device of claim **50**, wherein each of said
2 receiver assembly and said microphone assembly includes a respective thin enclosure
3 encapsulating said receiver and said microphone respectively, whereby, together with said thin

4 enclosure of said battery assembly, to inhibit contamination and damage of said device.

1 **54.** The semi-permanent hearing device of claim **53**, wherein each of said
2 thin enclosures is moisture-proof.

1 **55.** The semi-permanent hearing device of claim **53**, wherein each of said
2 thin enclosures has a wall thickness not exceeding 0.3 mm.

1 **56.** The semi-permanent hearing device of claim **50**, wherein each of said
2 receiver and said microphone has a port for passage of the respective acoustic signal
3 therethrough, and further including at least one debris guard for mating with at least one of said
4 microphone and said receiver without substantial interference with passage of said acoustic
5 signal through the respective port.

1 **57.** The semi-permanent hearing device of claim **56**, wherein said at least one
2 debris guard is moisture proof.

1 **58.** The semi-permanent hearing device of claim **56**, wherein said at least one
2 debris guard is substantially acoustically transparent.

59. The semi-permanent hearing device of claim 56, wherein said at least one debris guard comprises a replaceable cap.

60. The semi-permanent hearing device of claim 59, wherein said replaceable cap comprises a body member and guard member.

61. The semi-permanent hearing device of claim 56, wherein said at least one debris guard comprises an adhesive pad incorporating an adhesive.

62. The semi-permanent hearing device of claim **56**, wherein said at least one debris guard is removable and disposable for replacement thereof.

63. The semi-permanent hearing device of claim **50**, wherein said microphone assembly is flexibly connected to enable movement thereof in response to pressures from sources including physiologic debris collected in said ear canal and canal deformations associated with movements of said ear canal.

64. The semi-permanent hearing device of claim 50, wherein said receiver assembly includes an air vent.

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1 **65.** The semi-permanent hearing device of claim **50**, wherein said sealing
2 retainer includes an air vent.

1 **66.** The semi-permanent hearing device of claim **50**, further including a reed-
2 switch assembly coupled to said device for magnetically-induced remote power switching or
3 control of said device.

1 **67.** The semi-permanent hearing device of claim **66**, wherein said reed-
2 switch assembly includes a miniature latching magnet to enable latching of said reed-switch
3 assembly.

1 **68.** The semi-permanent hearing device of claim **50**, further including means
2 associated with said device for remote power switching or control of said device.

1 **69.** The semi-permanent hearing device of claim **68**, wherein said means for
2 remote power switching or control of said device comprises an external control magnet sized
3 to be hand-held.

1 **70.** The semi-permanent hearing device of claim **69**, wherein said external
2 control magnet is bar-shaped.

1 71. The semi-permanent hearing device of claim 50, wherein said
2 microphone assembly includes amplifier means integral therewith for processing said incoming
3 signal.

1 72. The semi-permanent hearing device of claim 50, wherein said connector
2 comprises a thin film circuit.

1 73. The semi-permanent hearing device of claim 72, wherein said thin film
2 circuit comprises a main section associated with said battery assembly, a medial section
3 associated with said receiver assembly, and a lateral section associated with said microphone
4 assembly.

1 74. The semi-permanent hearing device of claim 73, wherein said medial and
2 lateral sections are bendable with respect to said main section.

1 75. The semi-permanent hearing device of claim 50, wherein said connector
2 includes electrically conductive pads for connection to terminals of said battery.

1 76. The semi-permanent hearing device of claim 50, wherein said connector
2 includes a crossing section for connection to a crossing terminal of said battery.

1 **77.** The semi-permanent hearing device of claim **50**, further including
2 manually adjustable control means for adjusting at least one electroacoustic parameter of said
3 device.

1 **78.** The semi-permanent hearing device of claim **77**, wherein said manually
2 adjustable control means includes at least one electrical jumper for enabling said adjustment.

1 **79.** The semi-permanent hearing device of claim **50**, including programming
2 means for selectively adjusting electroacoustic parameters of said device.

1 **80.** The semi-permanent hearing device of claim **79**, wherein said
2 programming means includes a programmer external to said device and adjustment means
3 internal to said device responsive to programming signals from said programmer for
4 performing said selective adjustment.

1 **81.** The semi-permanent hearing device of claim **80**, wherein said
2 programmer includes an electrical cable for connection to said device for delivering said
3 programming signals to said internal adjustment means.

1 **82.** The semi-permanent hearing device of claim **80**, wherein said
2 programmer and said internal adjustment means include means for remote programming of said
3 device by delivery of said programming signals without a physical connection therebetween.

1 **83.** The semi-permanent hearing device of claim **82**, wherein said remote
2 programming means includes means for transmitting and receiving at least one of sound,
3 ultrasound, magnetic, electromagnetic, radio frequency and infrared signals as said
4 programming signals.

1 **84.** The semi-permanent hearing device of claim **50**, including measuring
2 means for performing in-situ probe tube measurements of parameters of said device.

1 **85.** The semi-permanent hearing device of claim **51**, wherein said sealing
2 retainer is composed of a compressible material.

1 **86.** The semi-permanent hearing device of claim **85**, wherein said
2 compressible material is responsive to compression thereof to undergo delayed expansion over
3 time to assume a snug fit within said ear canal.

1 **87.** The semi-permanent hearing device of claim **50**, wherein said sealing
2 retainer is made of polyurethane foam or like material.

1 **88.** The semi-permanent hearing device of claim **50**, wherein said sealing
2 retainer is made of silicone or like material.

1 **89.** The semi-permanent hearing device of claim **50**, wherein said sealing
2 retainer is removable from said device and disposable for replacement thereof.

1 **90.** The semi-permanent hearing device of claim **52**, wherein said cavity is
2 positioned in said sealing retainer coaxially with an axis to substantially coincide with the
3 longitudinal axis of the ear canal in the bony portion thereof when said device is fully inserted
4 into the ear canal, whereby to support said receiver assembly along said longitudinal axis in the
5 vicinity of the tympanic membrane of the wearer.

1 **91.** The semi-permanent hearing device of claim **52**, wherein said cavity is
2 medially shaped to conform at least partially to said battery assembly for acceptance thereof.

1 **92.** The semi-permanent hearing device of claim **50**, wherein said sealing
2 retainer is configured to be fully seated in the bony portion of the ear canal by conforming itself
3 to the walls thereof, whereby to provide acoustic sealing within said bony portion for
4 preventing said acoustic signals emanating from said receiver from feeding back to said
5 microphone.

1 **93.** The semi-permanent hearing device of claim **50**, wherein said sealing
2 retainer is one among an assortment of sealing retainers of different sizes and shapes supplied
3 with said hearing device to accommodate the dimensions of the ear canal of the individual
4 wearer.

1 **94.** The semi-permanent hearing device of claim **50**, wherein said battery is
2 a button cell type battery.

1 **95.** The semi-permanent hearing device of claim **50**, wherein said battery is
2 removable from said thin enclosure for disposal when battery replacement is required.

1 **96.** The semi-permanent hearing device of claim **50**, wherein said battery
2 assembly is removable from said device for disposal when battery replacement is required.

1 **97.** The semi-permanent hearing device of claim **50**, wherein said receiver
2 assembly protrudes medially beyond said sealing retainer.

1 **98.** A semi-permanent hearing device adapted to be inserted entirely within
2 a wearer's ear canal past the aperture thereof for long-term use therein, comprising:
3 a core assembly comprising

transducer means for converting sound waves incident thereon to audible acoustic signals for imparting thereof on the tympanic membrane of the wearer, and

a battery for powering said core assembly;

a sealing retainer fabricated to seat within and occlude the bony region of the ear canal when said device is fully inserted within the ear canal of the wearer, said sealing retainer including means for snugly supporting said core assembly along the longitudinal axis of the ear canal in said bony region, whereby said sealing retainer provides acoustic sealing of said bony region of the ear canal to prevent feedback, said core assembly including an extended portion extending laterally and non-occludingly into the cartilaginous region of the ear canal with minimal or no contact of the ear canal wall therein, whereby to substantially avoid interference by said extended portion of said core assembly with hair and production of cerumen and debris in said cartilaginous region.

99. The semi-permanent hearing device of claim **98**, wherein said core assembly and said sealing retainer are selectively separable from one another for disposal of said sealing retainer.

100. The semi-permanent hearing device of claim **98**, wherein said sealing retainer is sufficiently soft and yielding to conform itself to the shape of the ear canal in said bony region for long-term retention in a seated position therein when said device is fully inserted into the ear canal.

1 **101.** The semi-permanent hearing device of claim **98**, wherein said sealing
2 retainer comprises an air cavity to accept said core assembly for snug support thereof.

1 **102.** The semi-permanent hearing device of claim **98**, further comprising
2 moisture-proof encapsulation of said device.

1 **103.** The semi-permanent hearing device of claim **102**, wherein said
2 encapsulation has a wall thickness not exceeding 0.3 mm.

1 **104.** The semi-permanent hearing device of claim **98**, wherein said transducer
2 means includes a microphone and a receiver each having a respective port for passage of
3 acoustic signal therethrough, and further including at least one debris guard for at least one of
4 said microphone port and said receiver port without substantial interference to passage of
5 acoustic signal through the respective port.

1 **105.** The semi-permanent hearing device of claim **104**, wherein said at least
2 one debris guard is moisture proof.

1 **106.** The semi-permanent hearing device of claim **104**, wherein said at least
2 one debris guard is substantially acoustically transparent.

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1 **107.** The semi-permanent hearing device of claim **104**, wherein said at least
2 one debris guard comprises a replaceable cap.

1 **108.** The semi-permanent hearing device of claim **107**, wherein said
2 replaceable cap comprises a body member and guard member.

1 **109.** The semi-permanent hearing device of claim **104**, wherein said at least
2 one debris guard comprises an adhesive pad incorporating an adhesive.

1 **110.** The semi-permanent hearing device of claim **104**, wherein said at least
2 one debris guard is removable and disposable for replacement thereof.

1 **111.** The semi-permanent hearing device of claim **98**, wherein said core
2 assembly comprises an air vent.

1 **112.** The semi-permanent hearing device of claim **98**, wherein said transducer
2 means comprises a microphone assembly including amplifier means integral therewith for
3 processing acoustic signals.

1 **125.** A sealing retainer for use with a semi-permanent hearing device adapted
2 to be inserted entirely within the ear canal of a wearer past the aperture thereof, wherein:

3 said sealing retainer is configured (i) for concentric positioning over a medial
4 part of a core assembly of the hearing device so that said core assembly extends laterally within
5 and makes minimal or no contact with the walls of the cartilaginous region of said ear canal and
6 is suspended within and snugly supported at said medial part by said sealing retainer, and (ii)
7 for seating within and occluding the bony region of the ear canal, when said hearing device is
8 fully inserted within the ear canal of the wearer,

9 whereby said sealing retainer provides acoustic sealing of said bony region to
10 prevent feedback, and said lateral extension of said core assembly avoids substantial
11 interference with hair and production of cerumen and debris in said cartilaginous region.

1 **126.** The sealing retainer of claim **125**, wherein said sealing retainer is
2 sufficiently soft and yielding to conform itself to the shape of the ear canal in said bony region.

1 **127.** The sealing retainer of claim **125**, wherein said sealing retainer is
2 composed of a compressible material.

1 **128.** The sealing retainer of claim **127**, wherein said compressible material is
2 responsive to compression thereof to undergo delayed expansion over time to assume a snug
3 fit within said ear canal.

1 **129.** The sealing retainer of claim **125**, wherein said sealing retainer is made
2 of polyurethane foam or like material.

1 **130.** The sealing retainer of claim **125**, wherein said sealing retainer is made
2 of silicone or like material.

1 **131.** The sealing retainer of claim **125**, wherein said sealing retainer is
2 disposable.

1 **132.** The sealing retainer of claim **125**, wherein said sealing retainer includes
2 an air cavity.

1 **133.** The sealing retainer of claim **125**, wherein said sealing retainer is
2 assortedly sized and shaped to accommodate the dimensions of the ear canal of said wearer.

1 **134.** The sealing retainer of claim **125**, wherein said sealing retainer is
2 configured to have said core assembly protrude medially therefrom when suspended and
3 supported thereby.

1 **135.** The sealing retainer of claim **125**, wherein said sealing retainer is worn

2 alone without said core assembly for testing a hearing-impaired individual's tolerance for long-
3 term wearing of said sealing retainer and associated said hearing device.

1 **136.** The sealing retainer of claim **125**, wherein the cross-section of said
2 sealing retainer is oval in shape.

1 **137.** The sealing retainer of claim **136**, wherein said sealing retainer is
2 relatively pointed at its inferior portion.

1 **138.** A method of testing a hearing-impaired individual's tolerance to long-
2 term wearing of a canal hearing device inserted entirely within the individual's ear canal past
3 the aperture thereof without actual wearing of the entire hearing device, said method
4 comprising the steps of:

5 inserting into said ear canal a sealing retainer configured to conform to the walls
6 in the bony region of said ear canal until said sealing retainer is seated securely against said
7 walls in said bony region, wherein said sealing retainer has an air cavity normally adapted to
8 accept and retain a core assembly of said hearing device but said insertion step is performed
9 with said air cavity unoccupied by said core assembly, and

10 removing said sealing retainer from said ear canal after said sealing retainer has
11 been worn by said individual for a period of time of sufficient length to determine said long-
12 term tolerance.

1 **139.** The method of claim **138**, further including the step of examining said
2 ear canal after removal of said sealing retainer therefrom.

1 **140.** The method of claim **138**, further including the step of maintaining an
2 inventory of assorted sizes and shapes of said sealing retainer for selection of an appropriate
3 fit for the ear canal of said individual.

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SEMI-PERMANENT CANAL HEARING DEVICE

ABSTRACT

A semi-permanent hearing device is disclosed which is adapted to be completely positioned within the ear canal of an individual for long-term use. The device comprises a sealing retainer substantially positioned in the bony region of the ear canal and a core assembly including a receiver assembly coaxially positioned within the sealing retainer. When the device is inserted into its completely-in-the-canal position, the core assembly extends from the sealing retainer to the cartilaginous region of the ear canal in a non-occluding fashion, thereby minimizing interference with hair and earwax production present in the cartilaginous region. In a preferred embodiment of the device, the core assembly comprises a battery assembly conforming substantially to the shape and dimensions of the battery enclosed within the assembly. A connector in the form of a thin ribbon film provides electrical and flexible mechanical connectivity between the receiver assembly, the centrally positioned battery assembly, and a microphone assembly positioned in the cartilaginous region. The disclosed hearing device is characterized by the absence of a unitary enclosure or a main housing, in contrast to the enclosure or housing which typically encompasses the battery along with other components in prior art hearing device designs.

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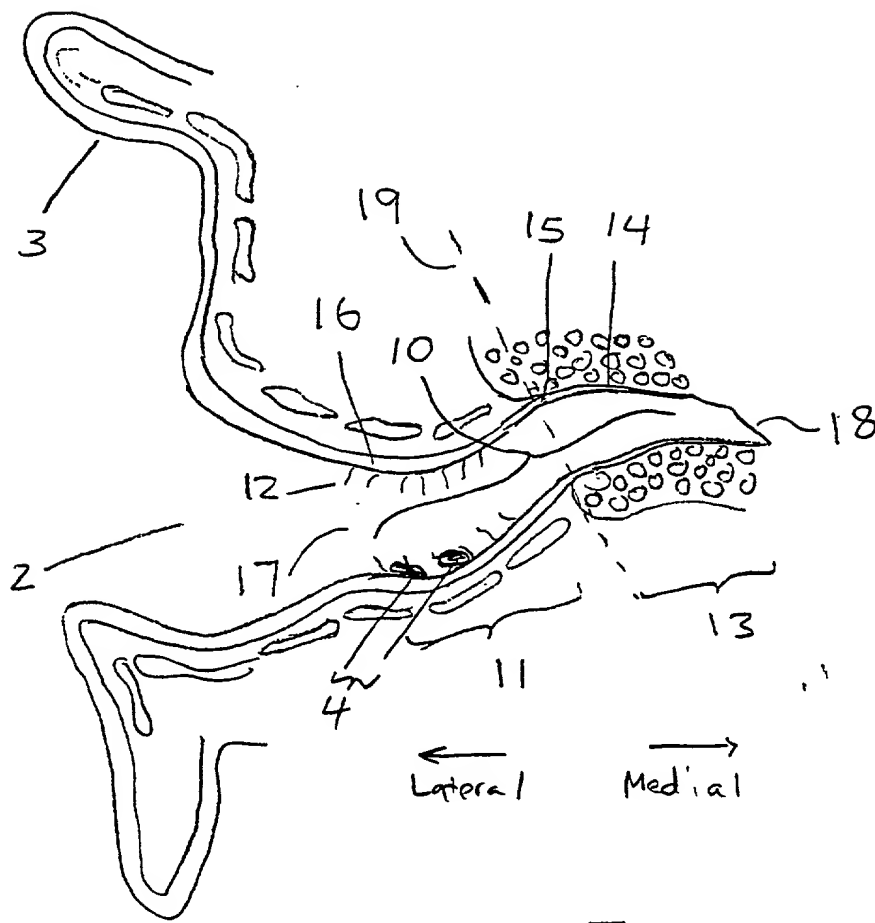


Fig 1

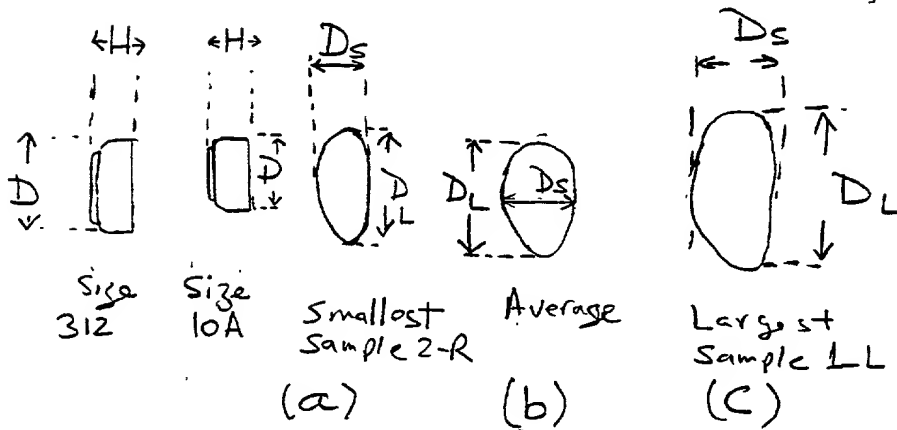


Fig. 2

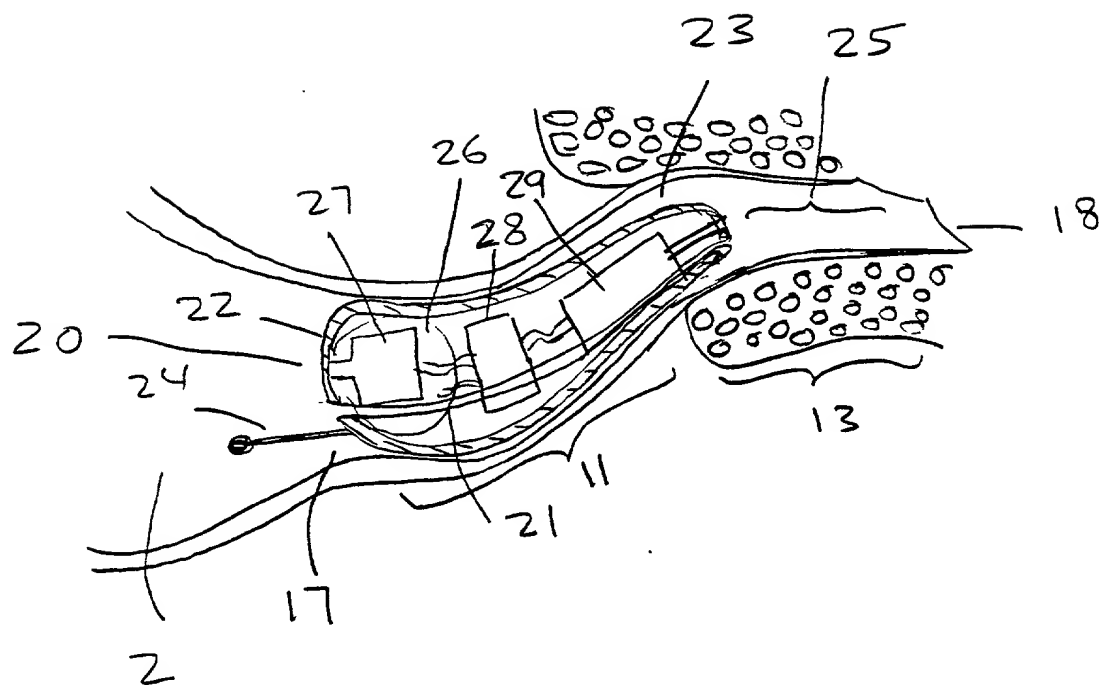


Fig 3.

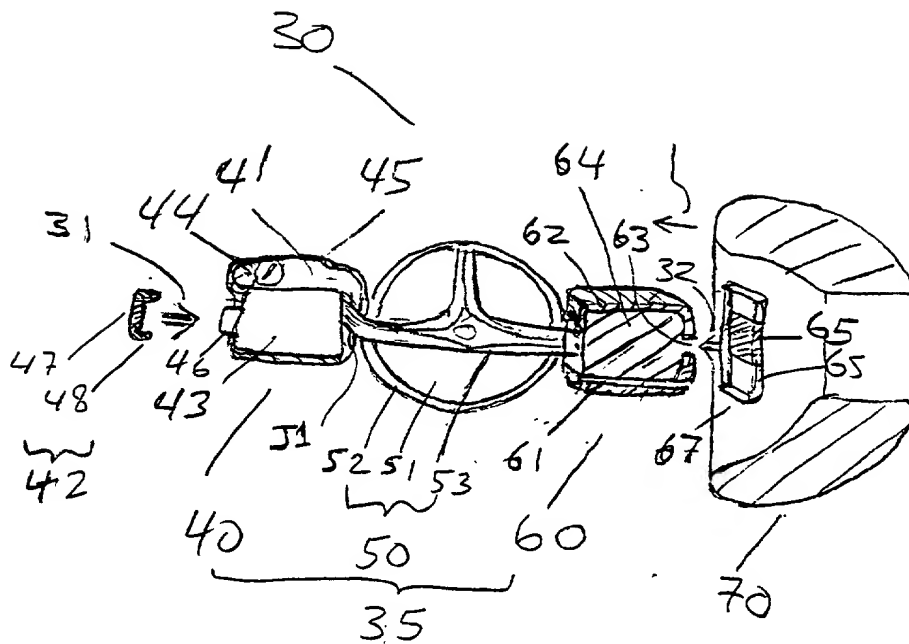


Fig 5

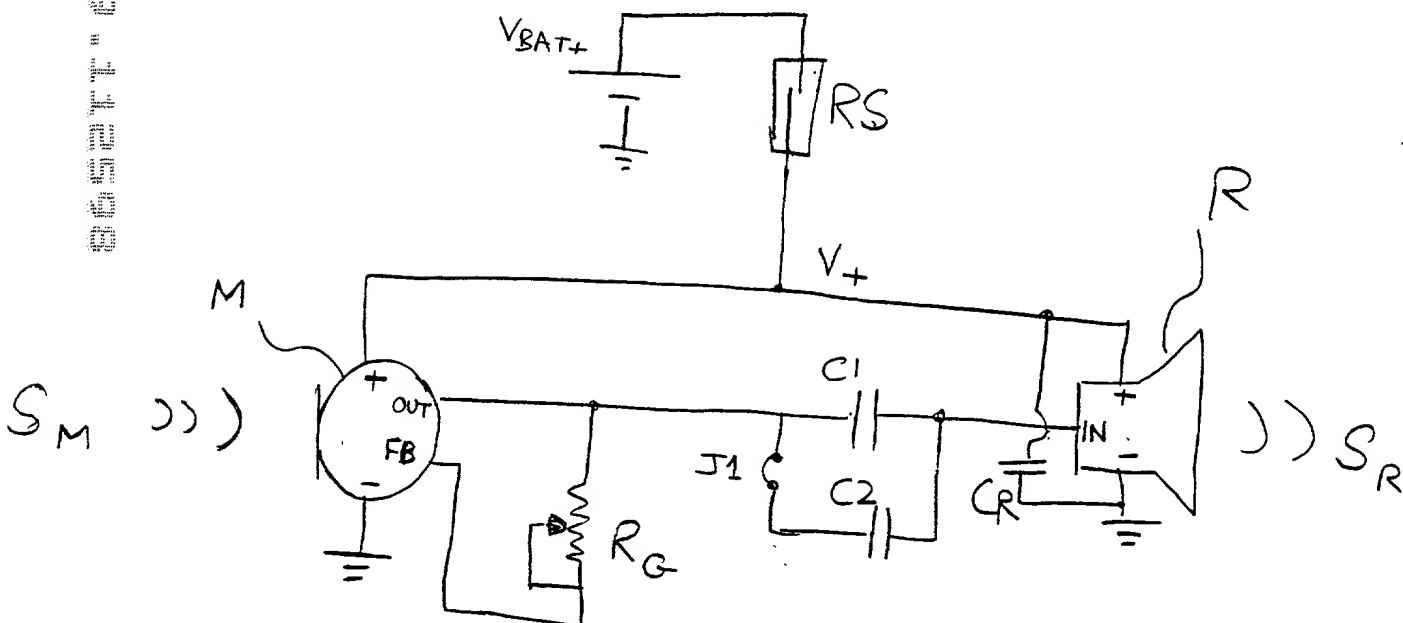


Fig 8

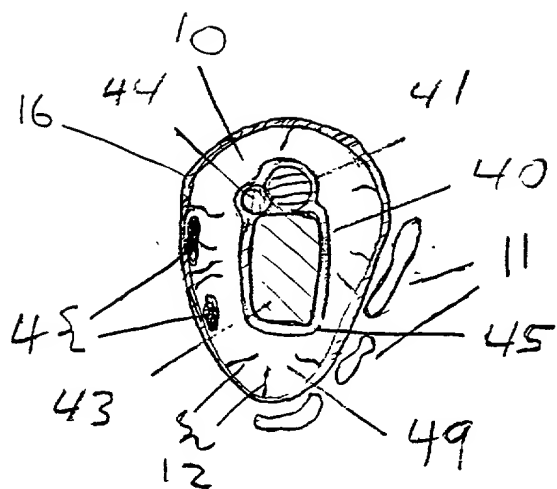


Fig 6a.

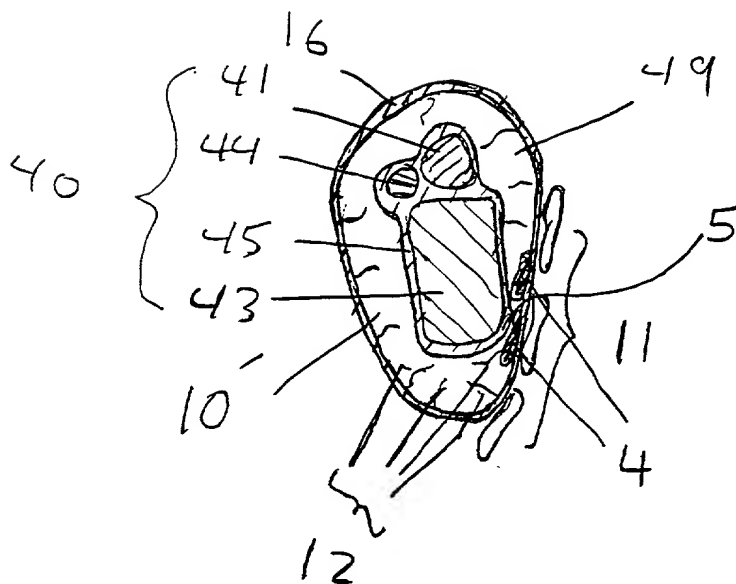


Fig 6b.

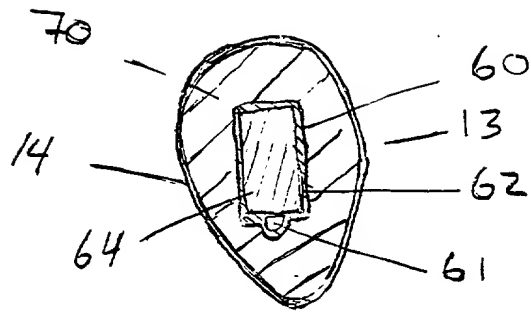


Fig 7a.

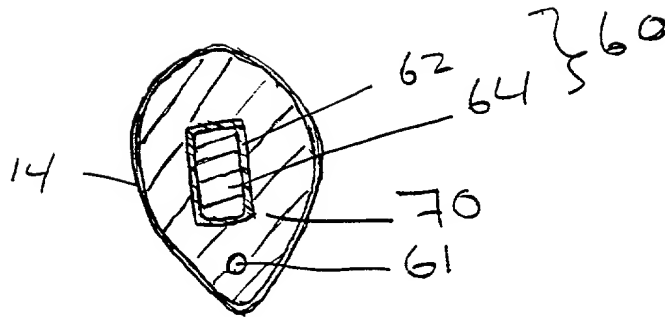


Fig 7b.



Frequency Response (90 dB input)

Model: TympanX - L
CIC Coupler

Date: November 2, 1998

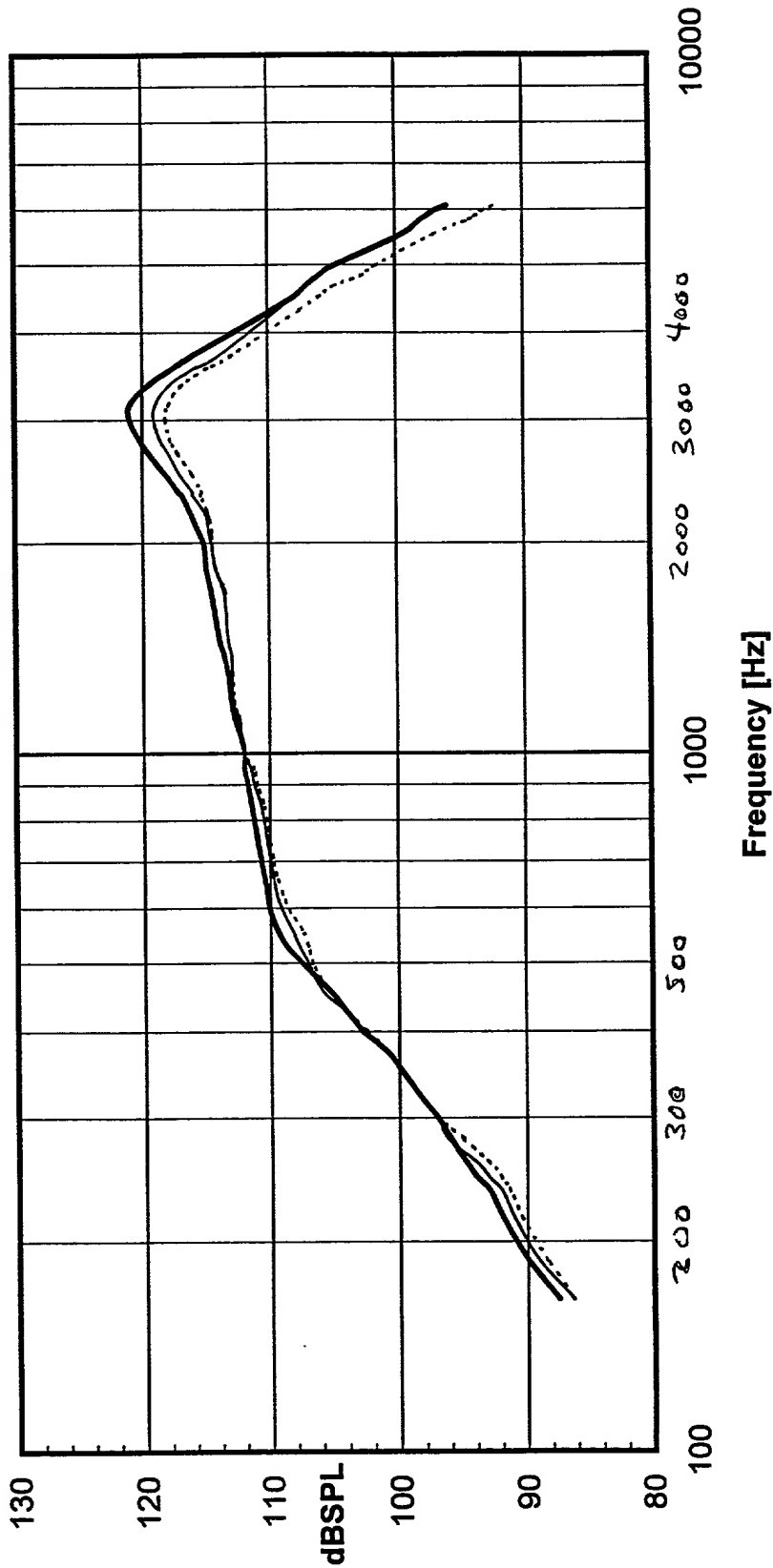


Fig. 9

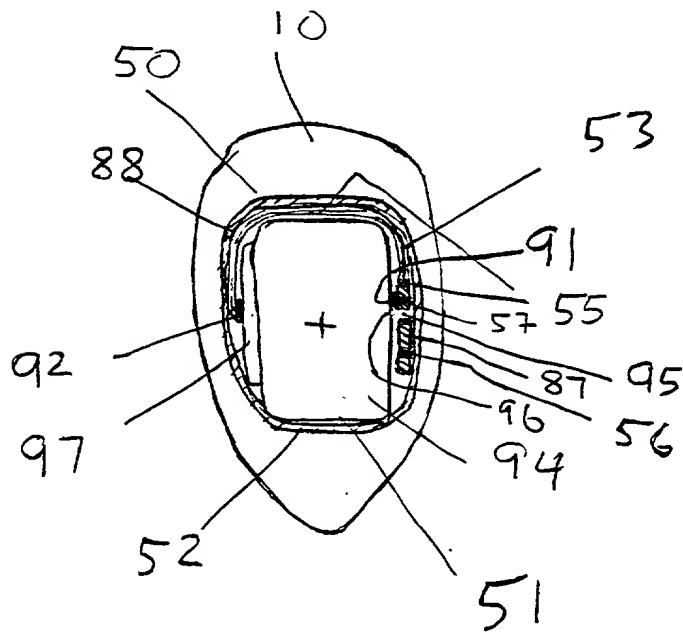
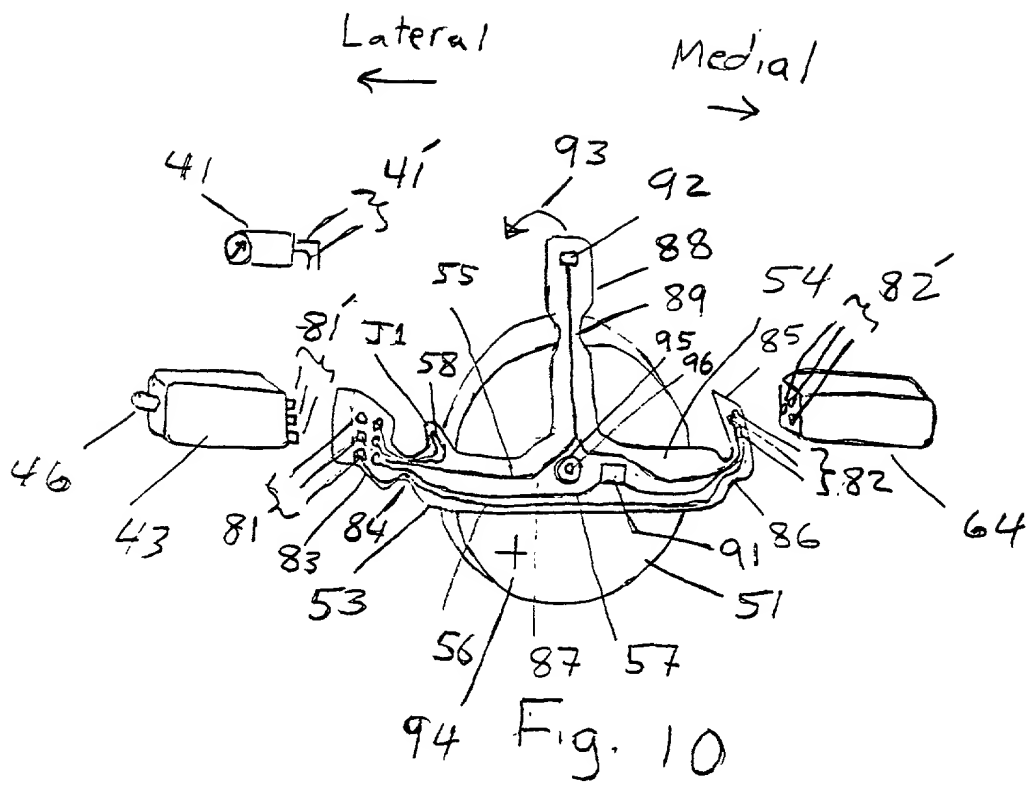


Fig. 11

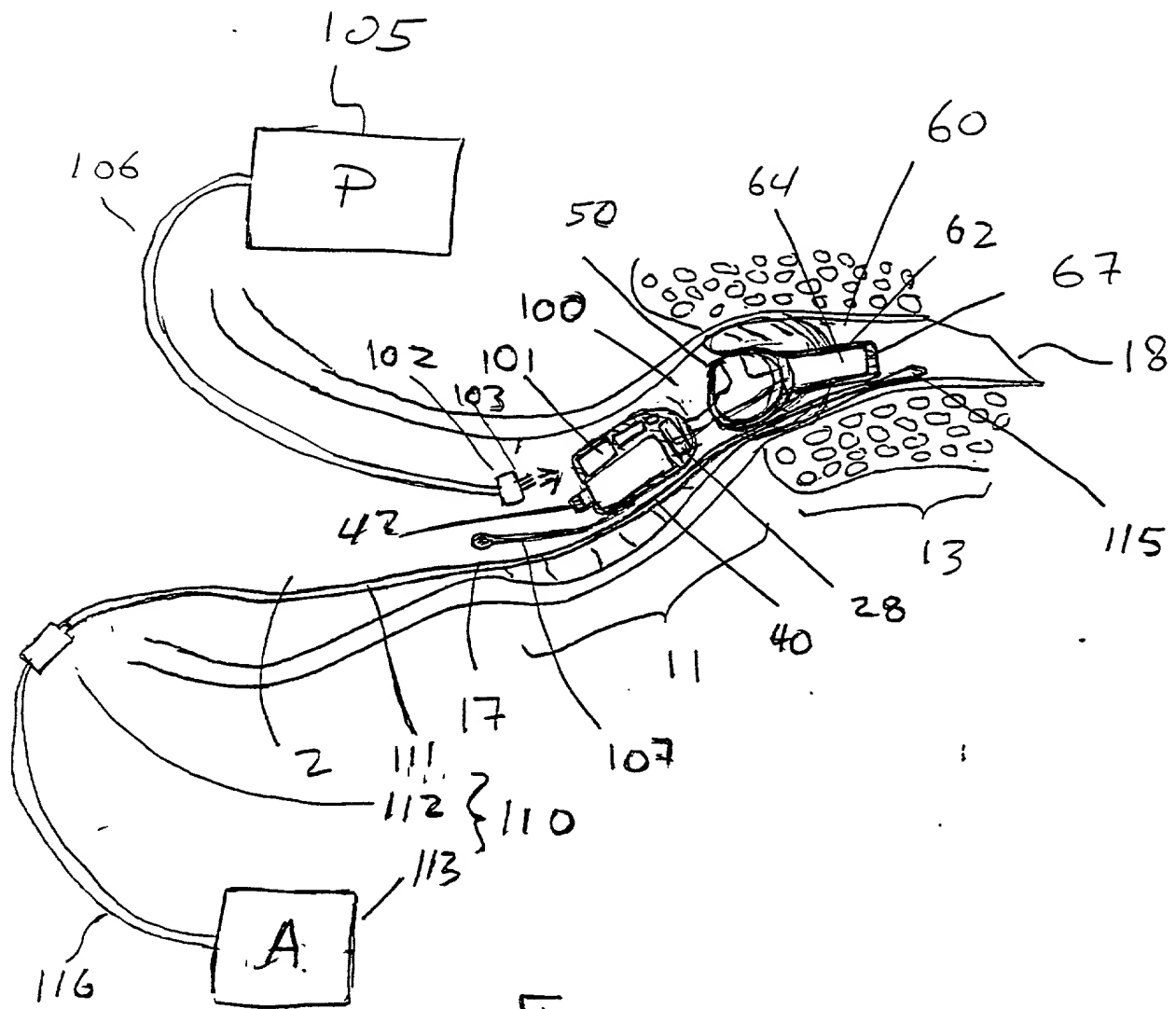


Fig. 12

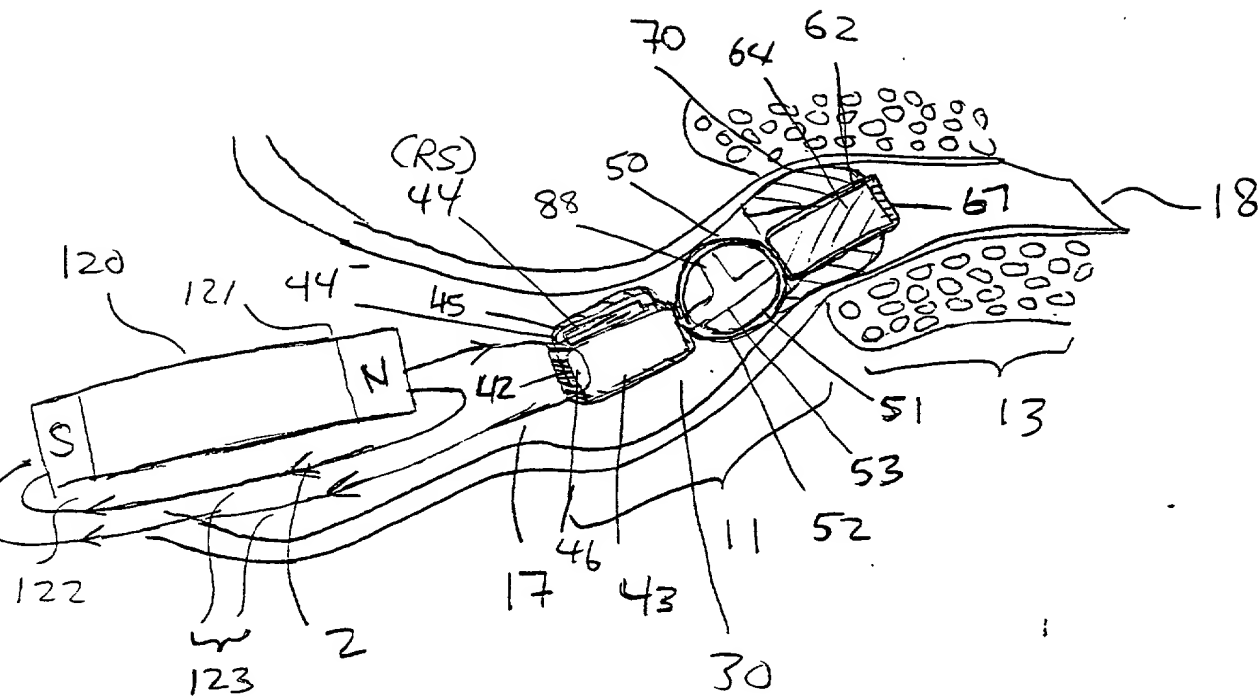


Fig. 13

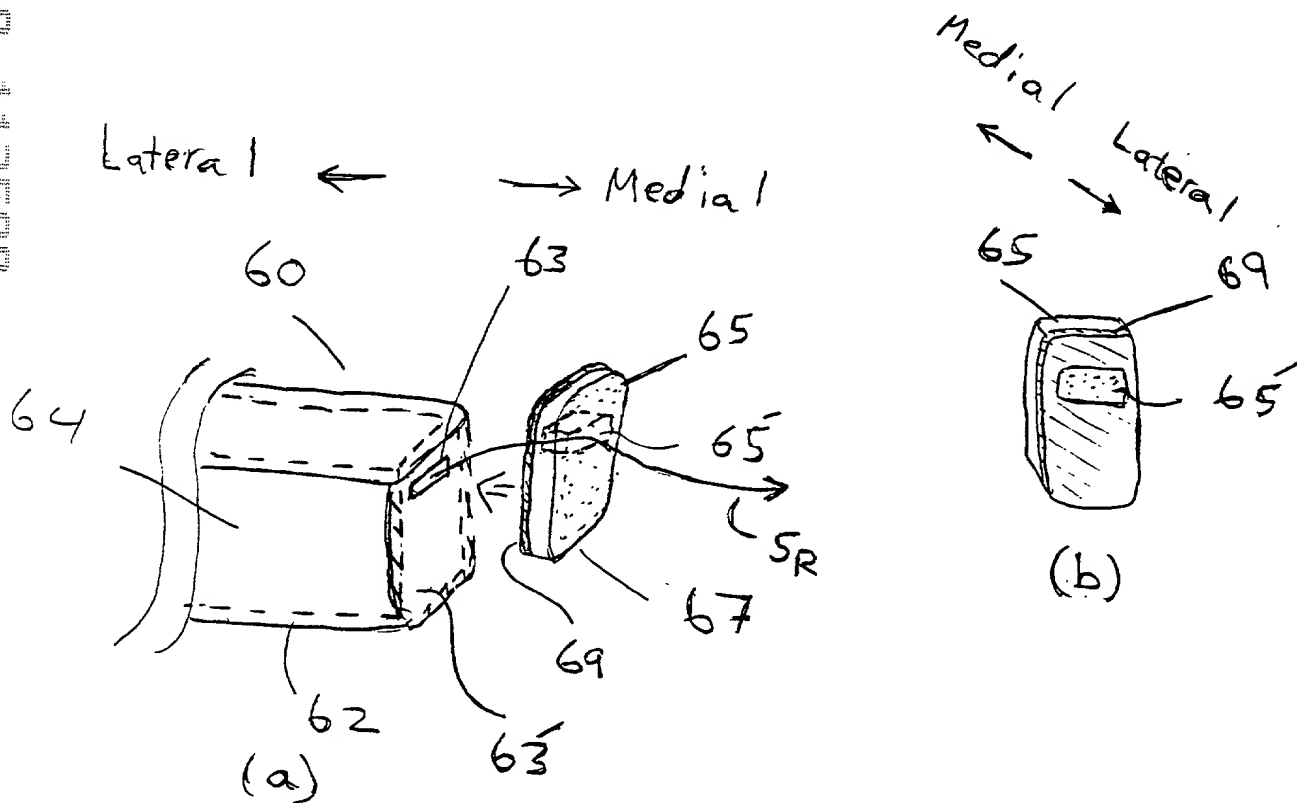


Fig 14

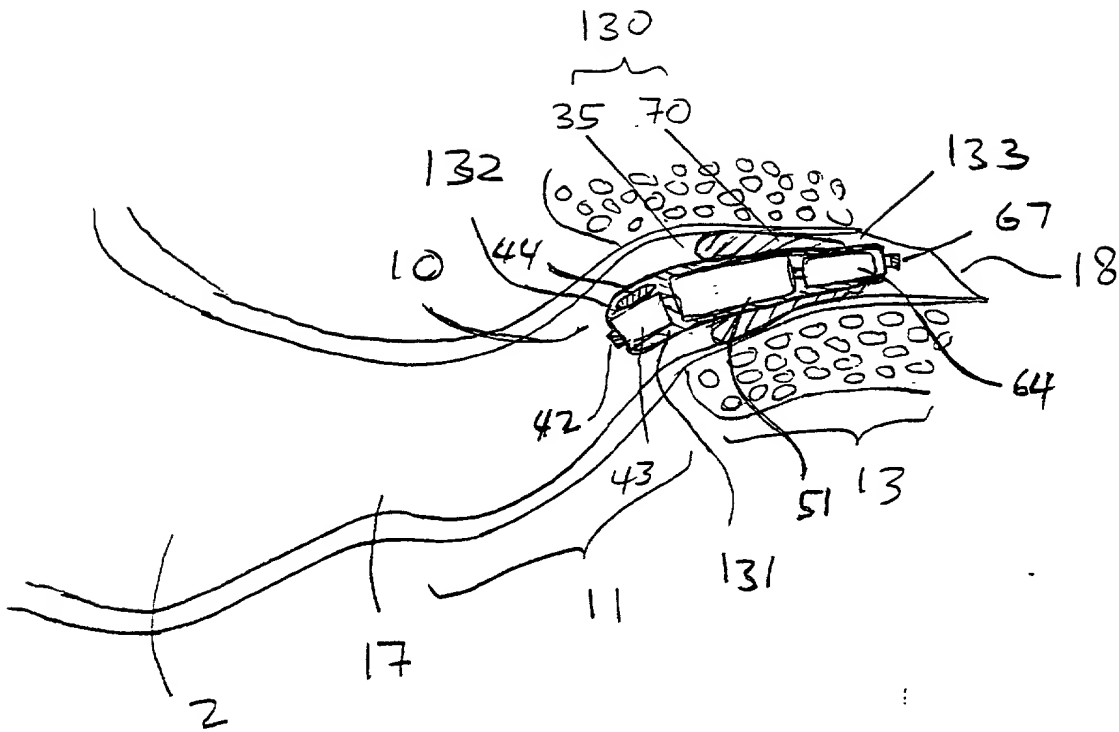


Fig. 15

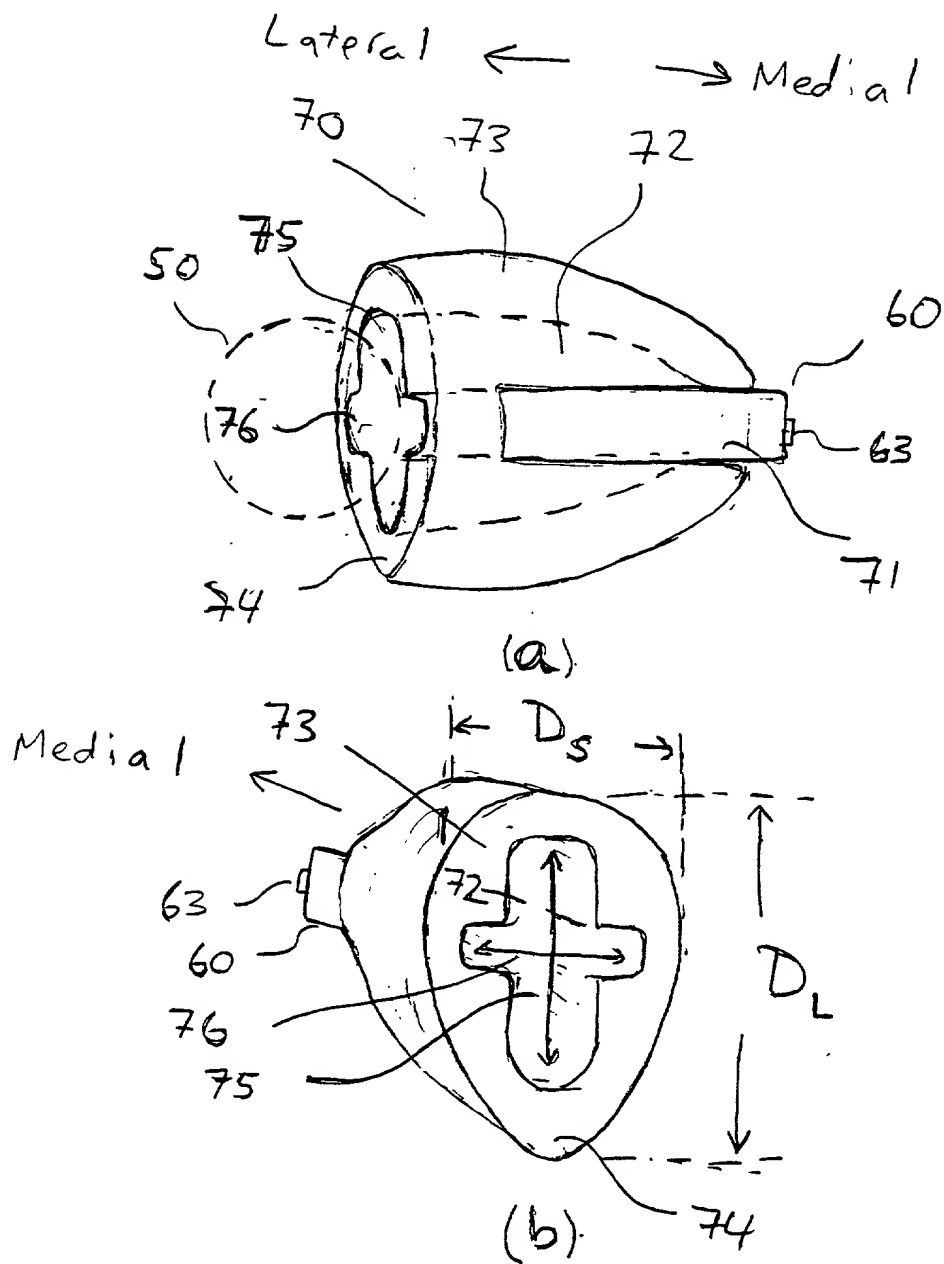


Fig 16

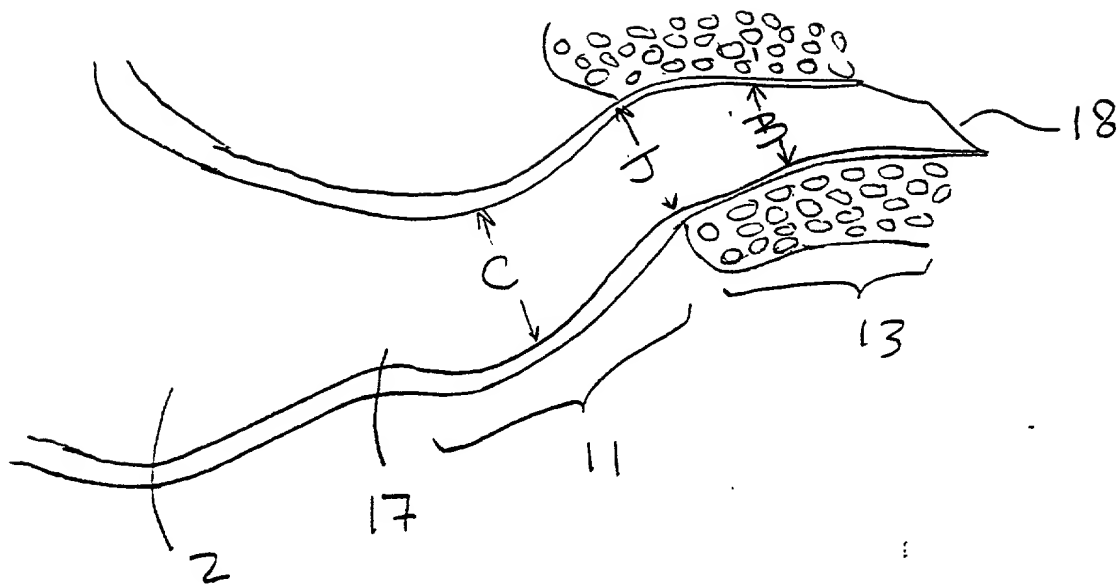


Fig 17

Submitted only to support
incorporation by reference
of Serial No. 09/181,533

EXPRESS MAIL LABEL NO. EE 805 094 854 US
ATTORNEY'S DOCKET: ISM/003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: _____
ADNAN SHENNIB _____ Group Art Unit _____
Serial No. _____ Examiner: _____
Filed: Herewith _____

Title: REMOTE MAGNETIC ACTIVATION OF HEARING DEVICES

COMBINED DECLARATION AND POWER OF ATTORNEY

As the below named inventor, I hereby declare that:

Type of Declaration

This declaration is made in an original application for utility patent.

Inventorship and Specification Identification

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention set forth above, the specification of which is being filed in the Patent and Trademark Office herewith.

Acknowledgment of Review of Papers and Duty of Candor

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims thereof.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, section 1.56(a).

Power of Attorney

As the named inventor, I hereby appoint Donald R. Greene, Reg. No. 22,470, as attorney to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Send correspondence to:
Donald R. Greene
Post Office Box 12995
Scottsdale, AZ 85267-2995

Direct telephone calls to:
Donald R. Greene
Tel: 602-488-9895
Fax: 602-488-5654

Declaration

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signature

Full name of sole inventor: Adnan Shennib

Inventor's signature ✓ Adnan Shennib

Date ✓ OCT 26 1998

Country of Citizenship: United States of America

Residence: Fremont, California

Post Office Address: 34337 Xanadu Terrace
Fremont, California 94555

EXPRESS MAIL LABEL NO. EE 805 094 797 US
ATTORNEY'S DOCKET: ISM/005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ADNAN SHENNIB, ET AL.

Group Art Unit _____

Serial No. _____

Examiner: _____

Filed: Herewith

Title: SEMI-PERMANENT CANAL HEARING DEVICE

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

Type of Declaration

This declaration is made in an original application for utility patent.

Inventorship and Specification Identification

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention set forth above, the specification of which is being filed in the Patent and Trademark Office herewith

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Power of Attorney

As a named inventor, I hereby appoint appoint Donald R. Greene, Reg. No. 22,470, as attorney to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

0919969-112598

Send correspondence to:
Donald R. Greene
Post Office Box 12995
Scottsdale, AZ 85267-2995

Direct telephone calls to:
Donald R. Greene
Tel: 602-488-9895
Fax: 602-488-5654

Declaration

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Signature

Full name of first inventor: Adnan Shennib

Inventor's signature Adnan Shennib

Date 11/23/98

Country of Citizenship: United States of America

Residence: Fremont, California

Post Office Address: 34337 Xanadu Terrace
Fremont, California 94555

Full name of second inventor: Richard C. Urso

Inventor's signature Richard C. Urso

Date 11/23/98

Country of Citizenship: United States of America

Residence: Redwood City, California

Post Office Address: 15 Bennet Road
Redwood City, California 94063

Full name of third inventor: Diep H. Ngo

Inventor's signature Diep H. Ngo

Date 11/23/98

Country of Citizenship: United States of America

Residence: San Jose, California

Post Office Address: 5534 Dunsburry Way
San Jose, California 95123

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EXPRESS MAIL LABEL NO. EE 805 094 797 US
ATTORNEY'S DOCKET: ISM/005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ADNAN SHENNIB, ET AL.

Group Art Unit _____

Serial No _____

Examiner: _____

Filed: Herewith

Title: SEMI-PERMANENT CANAL HEARING DEVICE

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c))--SMALL BUSINESS CONCERN

Commissioner of Patents and Trademarks
Washington, D.C. 20231

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN	InSonus Medical, Inc.
ADDRESS OF CONCERN	2506-B Technology Drive
	Hayward, CA 94545

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41 (a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full time, part time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that, except as noted in the paragraph immediately below, rights under contract or law in the above-captioned application and in the invention disclosed therein have been conveyed by the inventors named as the applicants therein to the small business concern identified above and remain therewith.

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The rights held by the above identified small business concern are exclusive, and no rights to the invention are held by any other person or by any other concern, except for certain rights granted to named inventor Adnan Shennib under that certain Commercialization Rights Agreement effective March 1998 between the above identified small business concern and the latter named inventor. I declare that the above identified small business concern has not assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person or entity that could not be classified as a small entity under 37 C.F.R. 1.9(f).

I acknowledge the duty to file, in this application for patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

TITLE OF PERSON OTHER THAN OWNER

ADDRESS OF PERSON SIGNING

Adnan Shennib

President and CEO

InSonus Medical, Inc.

2506-B Technology Drive

Hayward, CA 94545

Signature

Adnan Shennib

Date

11 / 23 / 98

DRG/ATTORNEYDOCKETISM/005

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EXPRESS MAIL LABEL NO. EE 805 094 797 US
ATTORNEY'S DOCKET. ISM/005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ADNAN SHENNIB, ET AL.

Group Art Unit _____

Serial No. _____

Examiner: _____

Filed: Herewith

Title: SEMI-PERMANENT CANAL HEARING DEVICE

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(b))--INDEPENDENT INVENTOR

As the below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35 United States Code, to the U.S. Patent and Trademark Office (PTO) with regard to the invention described in the above-captioned specification filed in the PTO herewith.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below*:

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

- INSONUS MEDICAL, INC., a California corporation, having an office in Hayward, California (Verified Statement of Small Entity Status filed herewith).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which

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06327 6996750

status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INDEPENDENT INVENTOR: Adnan Shennib

ADDRESS: 34337 Xanadu Terrace
Freemont, California 94555

Signature: Adnan Shennib

Date: 11 / 23 / 98

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11/22/1998 15:26